

# Torsion, Localization and Applications

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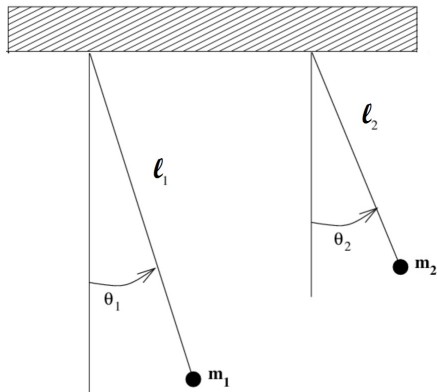
21.06.2021, Kassel

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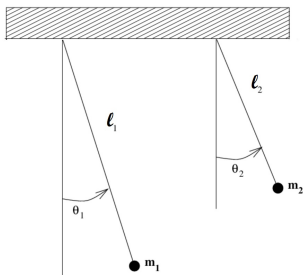
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The system of OD equations 
$$\begin{cases} \ddot{x} + l_1 \ddot{\theta}_1 + g\theta_1 = 0 \\ \ddot{x} + l_2 \ddot{\theta}_2 + g\theta_2 = 0 \end{cases}$$
 is rewritten in the new variables  $x_1 = x + l_1\theta_1, x_2 = x + l_2\theta_2, u = x$  in the matrix form.



$$\begin{bmatrix} d_t^2 + \frac{g}{l_1} & 0 & -\frac{g}{l_1} \\ 0 & d_t^2 + \frac{g}{l_2} & -\frac{g}{l_2} \end{bmatrix} \cdot \begin{bmatrix} x_1 \\ x_2 \\ u \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}$$

Notably: this system does not depend on the masses  $m_i$ !

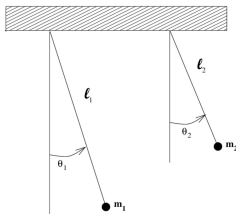
Since  $g$  is the gravitarional constant and  $\ell_1, \ell_2$  are fixed, we treat them as *parameters*, i.e. our ground field is  $\mathbb{Q}(g, \ell_1, \ell_2)$ .

Since nothing depends on time  $t$ , we have a system of ODEs with *constant coefficients*, rewritten as a matrix over the algebra of operators  $\mathbb{Q}(g, \ell_1, \ell_2)[d_t]$ .

Computations show that the system is (strongly) controllable; and there's the left inverse matrix of the so-called image representation of the system:

$$\left[ \frac{\ell_1}{g^2(\ell_1 - \ell_2)} \quad -\frac{\ell_2}{g^2(\ell_1 - \ell_2)} \quad 0 \right]$$

We see that the result is valid, provided  $\ell_1 \neq \ell_2$ !



Let us rerun the computation for the case  $l_1 = l_2 =: \ell$ !

Over system, written as a matrix over the algebra of operators  $\mathbb{Q}(g, \ell)[d_t]$ .

$$\begin{bmatrix} d_t^2 + \frac{g}{\ell} & 0 & -\frac{g}{\ell} \\ 0 & d_t^2 + \frac{g}{\ell} & -\frac{g}{\ell} \end{bmatrix}$$

is not controllable anymore: there is a nonzero **torsion submodule**!

It is annihilated by the ideal  $\langle \ell d_t^2 + g \rangle$  meaning there are **autonomous elements** like the difference  $x_1 - x_2$  of the positions of the pendula (relative to the bar).

## Recall some results from Algebra

Let  $R$  be a ring (associative, with 1).

- A **free module**  $R^m$ : set of (column) vectors of length  $m$  with entries from  $R$   $v = [r_1, \dots, r_m]^T = \sum r_i e_i$ , where  $\{e_i\}$  form a basis of  $R$   
analogy with vector spaces:  $R^m$  is closed under addition and under the multiplication by "scalar" elements from  $R$ .
- A **submodule** of  $R^m$  is an analogue to the subspace: if it is generated by the finite set of vectors; put them into a matrix  $U$ .  
Pass to the factor-module  $M = R^m/U$ , which is *finitely generated* by  $[e_1], \dots, [e_m]$  with  $[e] = e + U$ .

If  $R = K$  is a field, we are back in the course of *linear algebra*

Every nonzero submodule is free; every nonzero factor-module is free.

Over a general ring  $R$ :

Free  $\Rightarrow$  stably free  $\Rightarrow$  projective  $\Rightarrow$  flat  $\Rightarrow$  torsion-free.



## The first appearance of torsion

Let  $R$  be a PID (principal ideal domain) like  $\mathbb{Z}$  or  $K[x]$ : there are no zero-divisors except 0.

### Main Theorem on finitely generated modules over PID

$\exists k \in \mathbb{N}_0, \exists t \in \mathbb{N}_0 \quad \exists a_1, \dots, a_k \neq 0$  such that  $a_1 \mid a_2 \mid \dots \mid a_k$

$$M \cong R/\langle a_1 \rangle \times \dots \times R/\langle a_k \rangle \times R^t$$

Let  $D := \begin{bmatrix} a_1 & \dots & 0 \\ \vdots & \dots & \vdots \\ 0 & \dots & a_k \end{bmatrix}$ , then  $M \cong R^k/D \oplus R^t$  and  $t(M) := R^k/D$ .

For a domain  $R$ ,  $m \in M$  is a **torsion element**, if  $\exists 0 \neq r \in R : r \cdot m = 0_M$ .  
The **torsion submodule**  $t(M)$  of  $M$  consists of torsion elements.

### Dichotomy

$M$  is called **torsion module**, if  $t(M) = M$ , and **torsion-free module**, if  $t(M) = \{0\}$ . (In System theory reflected by controllable/autonomous.)

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# Localization of commutative domains

## Convention

From now on: let  $R$  be a domain (no zero-divisors).

## Definition

A subset  $S$  of  $R$  is called a **multiplicative set** if

- $0 \notin S$ ,
- $1 \in S$  and
- $S$  is **multiplicatively closed**, that is,  $\forall s, t \in S : s \cdot t \in S$ .

**Notation:**  $[S] :=$  the smallest multiplicative superset of  $S$ .

# Construction

## Theorem (Classical)

Let  $S$  be a multiplicative set in a commutative domain  $R$ . Then

$$S^{-1}R := \left\{ \frac{r}{s} \mid s \in S, r \in R \right\} = \{s^{-1}r \mid s \in S, r \in R\}$$

is a commutative domain, where

- $\frac{r_1}{s_1} = \frac{r_2}{s_2}$  if and only if  $s_1 r_2 = s_2 r_1$ ,
- $\frac{r_1}{s_1} + \frac{r_2}{s_2} = \frac{s_2 r_1 + s_1 r_2}{s_1 s_2}$ ,
- $\frac{r_1}{s_1} \cdot \frac{r_2}{s_2} = \frac{r_1 r_2}{s_1 s_2}$ .

## Example

$$R = \mathbb{Z}, S = \mathbb{Z} \setminus \{0\} \Rightarrow S^{-1}R = \left\{ \frac{r}{s} \mid r, s \in \mathbb{Z}, s \neq 0 \right\} = \mathbb{Q}$$

## Commutative examples I

Let  $R$  be a commutative domain and  $K$  a field.

### Quotient fields

$S = R \setminus \{0\} \Rightarrow \text{Quot}(R) := S^{-1}R = \left\{ \frac{r}{s} \mid r, s \in R, s \neq 0 \right\}$  is a field.

- $\text{Quot}(\mathbb{Z}) = \mathbb{Q}$
- $\text{Quot}(K[x]) = K(x)$
- $\text{Quot}(\{\text{holomorphic functions}\}) = \{\text{meromorphic functions}\}$

### Origin of the name: algebraic geometry

Let  $a \in K^n$  and  $\mathfrak{m} := \langle x_1 - a_1, \dots, x_n - a_n \rangle \subseteq K[x_1, \dots, x_n] =: P$ . Then  $S := P \setminus \mathfrak{m}$  is a multiplicative set in  $P$  and  $P_{\mathfrak{m}} := S^{-1}P$  describes the “local” behavior near  $a$ .

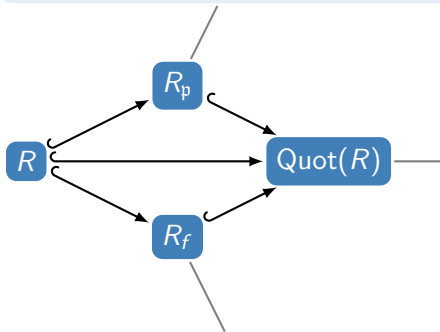
### Laurent polynomials

For  $[x] = \{x^k \mid k \in \mathbb{N}_0\}$ :  $[x]^{-1}K[x] = K[x, x^{-1}] \subsetneq K(x)$

## Commutative examples II

$$R_{\mathfrak{p}} := \left\{ \frac{p}{q} \mid p, q \in R, q \notin \mathfrak{p} \right\}, \mathfrak{p} \subseteq R \text{ prime ideal} \\ \Rightarrow R_{\mathfrak{p}} = S^{-1}R, \text{ where } S = R \setminus \mathfrak{p}$$

Example:  $K[x]_{\langle x \rangle} = \left\{ \frac{f}{g} \in K(x) \mid g(0) \neq 0 \right\}$



$$\text{Quot}(R) := \left\{ \frac{p}{q} \mid p, q \in R, q \neq 0 \right\} \\ \Rightarrow \text{Quot}(R) = S^{-1}R, \\ \text{where } S = R \setminus \{0\}$$

Example:  $\text{Quot}(K[x]) = K(x)$

$$R_f := \left\{ \frac{p}{fk} \mid p \in R, k \in \mathbb{N}_0, f \in R \setminus \{0\} \right\} \\ \Rightarrow R_f = S^{-1}R, \text{ where } S = [f] \\ \text{Example: } K[x]_x = K[x, x^{-1}]$$

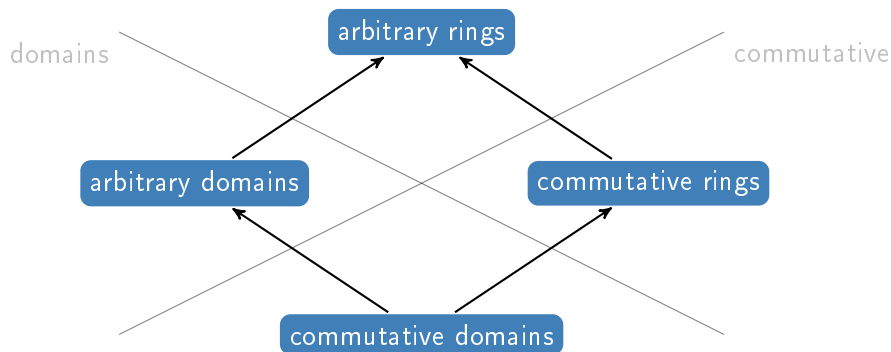
## Basic properties

Let  $S$  be a multiplicative set in a commutative domain  $R$ .

### Lemma

- (a)  $1_{S^{-1}R} = \frac{1}{1} = \frac{s}{s}$  for all  $s \in S$ .
- (b)  $0_{S^{-1}R} = \frac{0}{1} = \frac{0}{s}$  for all  $s \in S$ .
- (c)  $\frac{r}{s} = 1$  if and only if  $s = r$ .
- (d)  $\frac{r}{s} = 0$  if and only if  $r = 0$ .
- (e)  $\frac{r}{s} = \frac{tr}{ts}$  for all  $t \in R$  such that  $ts \in S$ .
- (f)  $-\frac{r}{s} = \frac{-r}{s}$ .
- (g)  $R \rightarrow S^{-1}R, r \mapsto \frac{r}{1}$  is an injective homomorphism.
- (h)  $S^{-1}R$  is a domain.
- (i) Every ideal in  $S^{-1}R$  is the extension of an ideal in  $R$ .
- (j) If  $R$  is Noetherian/Artinian/PID, so is  $S^{-1}R$ .
- (k)  $\{\text{prime ideals in } S^{-1}R\} \xleftrightarrow{1:1} \{\text{prime ideals in } R \text{ which do not meet } S\}$

# The hierarchy of Ore localizations: localization of...





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# Axiomatic definition of left Ore localization

## Definition

Let  $S$  be a multiplicative set in  $R$ . A ring  $R_S$  and an injective homomorphism  $\varphi : R \rightarrow R_S$  are a **left Ore localization** of  $R$  at  $S$  if:

- (1) For all  $s \in S$ ,  $\varphi(s)$  is invertible in  $S^{-1}R$ .
- (2) For all  $x \in R_S$ , there exist  $s \in S$  and  $r \in R$  such that  $x = \varphi(s)^{-1}\varphi(r)$ .

## Theorem

*Let  $S$  be a multiplicative set in  $R$ . If a left Ore localization of  $R$  at  $S$  exists, then it is unique up to isomorphism.*

## “Working” with non-commutative fractions

Let  $S$  be a multiplicative set in  $R$  such that the left Ore localization of  $R$  at  $S$  exists. For brevity we write a “left fraction”  $\varphi(s)^{-1}\varphi(r)$  simply as  $s^{-1}r$ .

### Multiplication

Take two left fractions  $s_1^{-1}r_1, s_2^{-1}r_2 \in R_S$ . Their product  $s_1^{-1}r_1 \cdot s_2^{-1}r_2$  must again be writable as a left fraction, thus there exist  $\tilde{s} \in S$  and  $\tilde{r} \in R$  such that

$$r_1 s_2^{-1} = \tilde{s}^{-1} \tilde{r} \quad \Leftrightarrow \quad \tilde{s} r_1 = \tilde{r} s_2,$$

then we get

$$s_1^{-1} r_1 s_2^{-1} r_2 = s_1^{-1} \tilde{s}^{-1} \tilde{r} r_2 = (\tilde{s} s_1)^{-1} \tilde{r} r_2.$$

### Corollary

*If the left Ore localization of  $R$  at  $S$  exists, then  $S$  is a left Ore set in  $R$ .*

# Left Ore sets

## Definition

Let  $S$  be a subset of  $R$ .

- $S$  satisfies the **left Ore condition** in  $R$  if

$$\forall s \in S, r \in R \quad \exists \tilde{s} \in S, \tilde{r} \in R : \quad \tilde{s}r = \tilde{r}s.$$

Equivalently:  $\forall s \in S, r \in R : Sr \cap Rs \neq \emptyset$ .

- **left Ore set** := multiplicative set + left Ore condition

## Consequences of the left Ore condition on $S$ in $R$

- Any right fraction  $rs^{-1}$  can be rewritten as a left fraction  $\tilde{s}^{-1}\tilde{r}$ .
- Finitely many elements have a **common left multiple** in  $S$ .

# Construction of the left Ore localization I

## Theorem (Ore 1931)

Let  $S$  be a left Ore set in  $R$ .

(a) The following is an equivalence relation on  $S \times R$ :

$$(s_1, r_1) \sim (s_2, r_2) \Leftrightarrow \exists \tilde{s} \in S, \tilde{r} \in R : \tilde{s}s_2 = \tilde{r}s_1 \text{ and } \tilde{s}r_2 = \tilde{r}r_1$$

Write the class of  $(s, r)$  wrt. to  $\sim$  again as  $(s, r)$  or as  $s^{-1}r$ .

(b)  $S^{-1}R := ((S \times R)/\sim, +, \cdot)$  is a ring with the operations

$$+ : S^{-1}R \times S^{-1}R \rightarrow S^{-1}R, (s_1, r_1) + (s_2, r_2) := (\tilde{s}s_1, \tilde{s}r_1 + \tilde{r}r_2),$$

where  $\tilde{s} \in S$  and  $\tilde{r} \in R$  satisfy  $\tilde{s}s_1 = \tilde{r}s_2$ , and

$$\cdot : S^{-1}R \times S^{-1}R \rightarrow S^{-1}R, (s_1, r_1) \cdot (s_2, r_2) := (\tilde{s}s_1, \tilde{r}r_2),$$

where  $\tilde{s} \in S$  and  $\tilde{r} \in R$  satisfy  $\tilde{s}r_1 = \tilde{r}s_2$ .

## Construction of the left Ore localization II

### Definition

The map

$$\rho_{S,R} : R \rightarrow S^{-1}R, \quad r \mapsto (1, r),$$

is called **structural homomorphism** or **localization map** of  $S^{-1}R$ .

### Lemma

*The pair  $(S^{-1}R, \rho_{S,R})$  is the left Ore localization of  $R$  at  $S$ .*

### Corollary

*Let  $S$  be a multiplicative subset of  $R$ . The following are equivalent:*

- (1) The left Ore localization of  $R$  at  $S$  exists.*
- (2)  $S$  is a left Ore set in  $R$ .*

# Basic properties

## Lemma

- (a)  $1_{S^{-1}R} = (1, 1) = (s, s)$  for all  $s \in S$ .
- (b)  $0_{S^{-1}R} = (1, 0) = (s, 0)$  for all  $s \in S$ .
- (c)  $(s, r) = 1$  if and only if  $s = r$ .
- (d)  $(s, r) = 0$  if and only if  $r = 0$ .
- (e)  $(s, r) = (ts, tr)$  for all  $t \in R$  such that  $ts \in S$ .
- (f)  $-(s, r) = (s, -r)$ .
- (g)  $R \rightarrow S^{-1}R, r \mapsto (1, r)$  is an injective homomorphism.
- (h)  $S^{-1}R$  is a domain.
- (i) Every left ideal in  $S^{-1}R$  is the extension of a left ideal in  $R$ .
- (j) If  $R$  is left Noetherian/Artinian/PID, so is  $S^{-1}R$ .

# The old good Weyl algebra

## The 1st polynomial Weyl algebra

$$A_1(K) = K\langle x, \partial \mid \partial x = x\partial + 1 \rangle$$

or, stressing that we work over the ring of *polynomial coefficients*

$$K[x]\langle \partial \mid \partial x = x\partial + 1 \rangle = K[x][\partial; 1, \frac{\partial}{\partial x}]$$

where the latter is the formulation via **Ore extension**.

Note that  $A_1$  is a Noetherian domain.

## The 1st rational Weyl algebra

$$B_1(K) = K(x)\langle \partial \mid \partial x = x\partial + 1 \rangle = K(x)[\partial; 1, \frac{\partial}{\partial x}]$$

It is the **Ore localization** of  $A_1$  at the Ore set  $S = K[x] \setminus \{0\}$ , and thus  $B_1 \cong S^{-1}A_1$ .



# The old good Weyl algebra I

## Lemma

The following are left Ore sets in  $A_1$ :

- $S = K[x] \setminus \{0\}$  and  $K[\partial] \setminus \{0\}$

$$\Rightarrow S^{-1}A_1 = B_1 := K(x)\langle \partial \mid \partial f = f\partial + \frac{df}{dx} \text{ for all } f \in K(x) \rangle$$

- $[x]$  and  $[\partial]$

$$\Rightarrow [x]^{-1}A_1 \cong K\langle x, x^{-1}, \partial \mid \partial x = x\partial + 1, \partial x^{-1} = x^{-1}\partial + x^{-2} \rangle$$

*(the first “Laurent Weyl algebra”)*

- $V := [x, \partial] = [[x] \cup [\partial]]$

## Dimension of the space of holomorphic solutions

### Theorem (Cauchy-Kowalewska-Kashiwara)

Let  $K = \mathbb{C}$ ,  $D = A_n(\mathbb{C})$  the  $n$ -th Weyl algebra,  $\mathcal{I} \subset D$  a left ideal such that  $D/\mathcal{I}$  is a holonomic  $D$ -module (i. e.  $\text{GKdim} D/\mathcal{I} = n$ ).

Moreover, let  $\text{Sing}(\mathcal{I})$  be the singular locus of  $\mathcal{I}$  and  $U$  a simply connected domain in  $\mathbb{C}^n \setminus \text{Sing}(\mathcal{I})$ . Consider the system of differential equations  $\{\mathfrak{o} \bullet f = 0 \mid \mathfrak{o} \in \mathcal{I}\}$  for holomorphic functions  $f$  on  $U$ . Then the dimension of the complex vector space of solutions to this system is equal to the holonomic rank of  $D/\mathcal{I}$ .

... where the **holonomic rank** of  $D/\mathcal{I}$  (or of a fin. pres.  $D$ -module) is nothing else but

$$\dim_{K(x)} S^{-1}D/S^{-1}\mathcal{I} = \dim_{K(x)} B_n/B_n\mathcal{I}$$

for  $S = K[x] \setminus \{0\}$ . This value is computable as well as  $\text{Sing}(\mathcal{I})$ .

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# Multiplicative inverses

Let  $(s, r) \in S^{-1}R$ , then its additive inverse is given by  $(s, -r)$ .

What about multiplication?

How can we describe  $U(S^{-1}R) := \{a \in S^{-1}R \mid a \text{ invertible/unit}\}$ ?

Some immediate sufficient conditions:

- If  $r \in S$ , then  $(s, r)$  is invertible with  $(s, r)^{-1} = (r, s)$ .
- If  $r$  is a unit in  $R$ , then  $(s, r)$  is invertible with  $(s, r)^{-1} = (1, r^{-1}s)$ .

But  $S^{-1}R$  may contain more units:

## Example

Let  $K$  be a field. Consider  $\frac{x}{1}$  in  $[x^2]^{-1}K[x]$ . Now  $x \notin [x^2]$  and  $x$  is not a unit in  $K[x]$ , but  $\frac{x}{1}$  is invertible with  $(\frac{x}{1})^{-1} = \frac{x}{x^2}$ .

# Left saturation closure

## Definition

Let  $P$  be a subset of  $R$ .

- $P$  is called **left saturated** if for all  $a, b \in R : ab \in P \Rightarrow b \in P$ .
- The **left saturation closure** of  $P$  in  $R$  is

$$\text{LSat}(P) := \{r \in R \mid \exists w \in R : wr \in P\} \supseteq P.$$

## Lemma

- $P$  is left saturated  $\Leftrightarrow P = \text{LSat}(P)$ .
- If  $P \neq \emptyset$ :  $U(R) \subseteq \text{LSat}(P)$ .

## The old good Weyl algebra II

Consider the first polynomial Weyl algebra  $A_1 = K\langle x, \partial \mid \partial x = x\partial + 1 \rangle$ . Note that  $U(A_1) = K \setminus \{0\}$  is contained in any of the following closures, but is sometimes omitted for brevity.

### Example

$$\text{LSat}([x^n]) = \text{LSat}([x]) = [x] \quad \text{and} \quad \text{LSat}(K[x] \setminus \{0\}) = K[x] \setminus \{0\}.$$

### Definition

The **Euler operator** in  $A_1$  is  $\theta := x\partial = \partial x - 1$ .

### Example

Let  $V := [x, \partial]$  and  $\Theta := [\theta + \mathbb{Z}] = [\{x\partial + z \mid z \in \mathbb{Z}\}]$ .

- (a)  $V$  and  $\Theta$  are left Ore sets in  $A_1$ . (non-trivial)
- (b)  $\text{LSat}(V) = \text{LSat}(\Theta)$ . (easy)
- (c)  $\text{LSat}(V) = [(\theta + \mathbb{Z}) \cup \{x, \partial\}]$ . ( $\subseteq$  highly non-trivial)

# The units of the localized ring

Note that  $(s, r) = (s, 1) \cdot (1, r)$ .

## Theorem

Let  $(s, r) \in S^{-1}R$ . The following are equivalent:

- (1)  $(s, r) \in U(S^{-1}R)$ .
- (2)  $(1, r) \in U(S^{-1}R) \iff r \in \rho^{-1}(U(S^{-1}R))$ .
- (3)  $r \in \text{LSat}(S) \iff \exists w \in R : wr \in S$ .
- (4)  $Rr \cap S \neq \emptyset$ .

$\Rightarrow \text{LSat}(S)$  is the set of all elements of  $R$  that become invertible in the localization  $S^{-1}R$

# Localization at left saturation

## Reminder

$$\text{LSat}(S) := \{r \in R \mid \exists w \in R : wr \in S\}$$

## Lemma

If  $S$  is a left Ore set in  $R$ , then  $\text{LSat}(S)$  is a saturated left Ore set in  $R$ .

## Theorem

$S^{-1}R \cong \text{LSat}(S)^{-1}R$  as rings (and  $K$ -algebras, if applicable) via

$$S^{-1}R \rightarrow \text{LSat}(S)^{-1}R, \quad (s, r) \mapsto (s, r).$$

$\Rightarrow \text{LSat}(S)$  is the **canonical representative** of the localization at  $S$



# The old good Weyl algebra III

## Definition

The skew field of fraction of the Weyl algebra is

$$D_1 = (A_1 \setminus \{0\})^{-1}A_1 = \left\{ \frac{p}{q} \mid p \in A_1, q \in A_1 \setminus \{0\} \right\}.$$

## Theorem (Makar-Limanov 1983)

$D_1$  contains a free algebra generated by  $(\partial x, 1)$  and  $(\partial x, 1) \cdot (1 - \partial, 1)$ .

The two generators are also contained in  $\text{LSat}(S)^{-1}A_1$ , where

$$S := [\Theta \cup \{\partial - 1\}] = [(\theta + \mathbb{Z}) \cup \{\partial - 1\}] = [(x\partial + \mathbb{Z}) \cup \{\partial - 1\}].$$

For all  $i \in \mathbb{Z}$  we have

$$(\theta + i + 1)(x\partial^2 - x\partial + (i + 2)\partial - i) = (\partial - 1)(\theta + i)(\theta + i + 1) \in S,$$

thus  $\text{LSat}(S)$  contains the (irreducible) element  $x\partial^2 - x\partial + (i + 2)\partial - i$ .

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# Local closure

## Definition

Let  $S$  be a left Ore set in  $R$  and  $L$  a left ideal in  $R$ . Then

$$S^{-1}L := \{(s, r) \in S^{-1}R \mid r \in L\}$$

is the **localization** of  $L$  at  $S$ .

## Definition

The **local closure** or  **$S$ -closure** of a left ideal  $L$  in  $R$  is

$$L^S := \rho^{-1}(S^{-1}L).$$

## Lemma

$$L^S = \{r \in R \mid \exists s \in S : sr \in L\} =: \text{LSat}_S(L).$$

# Application of local closure

## Weyl algebra vs. differential equations

$$r := \sum_{i=0}^n p_i \partial^i \in A_1 \text{ with } p_i \in K[x] \quad \iff \quad \text{ODE } \sum_{i=0}^n p_i f^{(i)}(x) = 0$$

A solution  $f$  of such an ODE can only have a singularity at roots of  $p_n$ .  
But: there can be roots of  $p_n$  where no solution is singular (**apparent singularities**).

## Desingularization

Find  $t \in \langle r \rangle^{K[x] \setminus \{0\}}$  such that as many apparent singularities of  $r$  as possible are no longer apparent singularities of  $t$ .

## Example (Barkatou, Maddah 2015)

$$r = x\partial^2 - (x+2)\partial + 2 \in A_1(\mathbb{Q}) \quad \Rightarrow \quad \langle r \rangle^{K[x] \setminus \{0\}} = \langle r, \partial^4 - \partial^3 \rangle$$

# Partial classification of Ore localizations

## Most common types of Ore localizations

Let  $K$  be a field,  $R$  a  $K$ -algebra and  $S$  a left Ore set in  $R$ . The set  $S$  (and  $S^{-1}R$ ) is called...if...

**Monoidal:**  $S$  is generated as a monoid by countably many elements

**Example:**  $[x + 1] \subseteq K[x]$ ,  $\Theta = [\theta + \mathbb{Z}] \in A_1$ .

**Geometric:** Let  $K[\underline{x}] = K[x_1, \dots, x_n]$ ,  $\mathfrak{p} \subseteq K[\underline{x}]$  prime,  $S = K[\underline{x}] \setminus \mathfrak{p}$

**Example:**  $K[\underline{x}] \setminus \langle x \rangle \subseteq K[\underline{x}] \subseteq A_1$ .

**Rational:**  $T \subseteq R$  is a  $K$ -subalgebra,  $S = T \setminus \{0\}$

Special case:  $R$  is generated by  $\underline{x} = \{x_1, \dots, x_n\}$  and  $T$  is generated by a subset of  $\underline{x} \Rightarrow S$  is **essential** rational

**Example:**  $K[\underline{x}] \setminus \{0\} \subseteq K[x, y] \subseteq A_2$ .

## Example: local closure at $\Theta$ in $A_1$

### Task

Let  $L$  be a left ideal in  $A_1$ . Determine  $L^\Theta$ , where  $\Theta = [\theta + \mathbb{Z}] = [x\partial + \mathbb{Z}]$ .

### Lemma

Let  $S$  be a left Ore set in  $R$  and  $I$  a left ideal in  $R$ , then

$$I^S = I^{\text{LSat}(S)}.$$

$\Rightarrow L^\Theta = L^{[x, \partial]}$  since  $\text{LSat}([x, \partial]) = \text{LSat}(\Theta)$ .

### New Task

Let  $L$  be a left ideal in  $A_1$ . Determine  $L^V$ , where  $V = [x, \partial]$ .

Thank you for your attention!



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## Example: local closure at $\Theta$ in $A_1$

### Task

Let  $L$  be a left ideal in  $A_1$ . Determine  $L^V$ , where  $V = [x, \partial]$ .

### Knowledge from $D$ -module theory

Determining  $L^{[x]}$  is algorithmic (Oaku, Takayama, Walther 1999).

Together with the **Fourier automorphism**  $\mathcal{F} : A_1 \rightarrow A_1$  induced by  $x \mapsto -\partial$  and  $\partial \mapsto x$ , determining  $L^{[\partial]}$  is also algorithmic via

$$L^{[\partial]} = \mathcal{F}^{-1}(\mathcal{F}(L)^{[x]}).$$

### Goal

Reduce the computation of  $L^V$  to computations of the form  $L^{[x]}$  and  $L^{[\partial]}$ .



## Example: local closure at $\ominus$ in $A_1$

### Lemma

Let  $S$  and  $T$  be left Ore sets in  $R$  and  $L$  a left ideal in  $R$ . Then  $[S \cup T]$  is a left Ore set in  $R$  and

$$L = L^{[S \cup T]} \iff L = L^S \text{ and } L = L^T.$$

### Corollary

Let  $R$  be Noetherian, then in the chain of left ideals

$$L \subseteq L^S \subseteq (L^S)^T \subseteq ((L^S)^T)^S \subseteq \dots \subseteq L^{[S \cup T]}.$$

there can only be finitely many strict inclusions. By the lemma above, at the first non-strict inclusion we have already reached  $L^{[S \cup T]}$ .

# Contents

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- 5 Left saturation closure: the local closure problem
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# Localization: the paradox of theory vs practice

In theory, localization makes life easier:

- a localized ring is bigger and contains more invertible elements than the original ring, thus less proper ideals
- a localized ring is deeply connected to the original ring via  $\rho_{S,R}$
- the structure of the category of  $S^{-1}R$ -modules is much easier than the structure of the category of  $R$ -modules

In practice (i.e. computer algebra) manipulations with objects in the localization  $S^{-1}R$  are generally **much more complicated** than with objects in  $R$ .

Proof by bad example.

What is  $3 + 5$ ? What is  $\frac{1}{3} + \frac{1}{5}$ ? See. □

# G-algebras (PBW algebras, algebras of solvable type)

## Definition

For a field  $K$ ,  $n \in \mathbb{N}$  and  $1 \leq i < j \leq n$  consider the constants  $c_{i,j} \in K^*$  and polynomials  $d_{i,j} \in K[x_1, \dots, x_n]$ . The  $K$ -algebra

$$A := K\langle x_1, \dots, x_n \mid \{x_j x_i = c_{i,j} x_i x_j + d_{i,j} : 1 \leq i < j \leq n\} \rangle$$

is called a **G-algebra**, if:

- (1) there exists a monomial total well-ordering  $<$  on  $K[x_1, \dots, x_n]$  such that for any  $1 \leq i < j \leq n$  either  $d_{i,j} = 0$  or the leading monomial of  $d_{i,j}$  with respect to  $<$  is smaller than  $x_i x_j$ .
- (2)  $\{x_1^{\alpha_1} \cdot \dots \cdot x_n^{\alpha_n} \mid \alpha_i \in \mathbb{N}_0\}$  is a  $K$ -basis of  $A$ .

## Remark

- G-algebras are Noetherian domains.
- There exists a Gröbner basis theory for G-algebras plus implementation (most extensive in SINGULAR:PLURAL).

## Examples of $G$ -algebras

- Weyl algebras ( $K\langle x_1, \dots, x_n, \partial_1, \dots, \partial_n \mid \forall i : \partial_i x_i = x_i \partial_i + 1 \rangle$ )
- Shift algebras ( $K\langle x_1, \dots, x_n, s_1, \dots, s_n \mid \forall i : s_i x_i = (x_i + 1) s_i \rangle$ )
- $q$ -Weyl algebras ( $K\langle \underline{x}, \underline{\partial} \mid \forall i \exists q_i \in K^* : \partial_i x_i = q_i x_i \partial_i + 1 \rangle$ )
- $q$ -Shift algebras ( $K\langle \underline{x}, \underline{s} \mid \forall i \exists q_i \in K^* : s_i x_i = q_i x_i s_i \rangle$ )
- Integration algebras ( $K\langle \underline{x}, \underline{l} \mid \forall i : l_i x_i = x_i l_i + l_i^2 \rangle$ )
- Universal enveloping algebras of finite-dimensional Lie algebras
- Many quantum groups
- Tensor products of  $G$ -algebras over the common ground field
- ...

### Recent results (Heinle, Levandovskyy, Bell)

Factorization in  $G$ -algebras is possible (finitely many cases) and implemented in `ncfactor.lib` in `SINGULAR:PLURAL`.

## Types of **computable** Ore localizations

At the moment we can deal with the following situations:

Let  $K$  be a field and  $R$  a  **$G$ -algebra over  $K$** ,  $S$  a left Ore set in  $R$ .

**Monoidal:**  $S$  is generated as a monoid by **finitely** many elements **contained in a commutative polynomial subring of  $R$  generated by a subset of the variables**

Examples:  $[x]^{-1}A_1$ ,  $[\partial - 1]^{-1}A_1$ , **not**  $[x, \partial]^{-1}A_1$

**Geometric:** Let  $T = K[x_1, \dots, x_n] \subseteq R$ ,  $\mathfrak{p} \subseteq T$  prime,  $S = T \setminus \mathfrak{p}$

Example:  $(K[x] \setminus \langle x - 42 \rangle)^{-1}A_1$

**Rational:**  $T \subseteq R$  is a sub- $G$ -algebra **generated by a subset of the variables**,  
 $S = T \setminus \{0\}$

Example:  $(K[x] \setminus \{0\})^{-1}A_1$

# Algorithmic framework for algebras of operators

OLGA = Ore-localized  $G$ -algebra

`olga.lib` for SINGULAR:

```
locStatus(int, def)
testLocData(int, def)
isInS(poly, int, def)
fracStatus(vector, int, def)
testFraction(vector, int, def)
leftOre(poly, poly, int, def)
rightOre(poly, poly, int, def)
convertRightToLeftFraction(vector, int, def)
convertLeftToRightFraction(vector, int, def)
addLeftFractions(vector, vector, int, def)
multiplyLeftFractions(vector, vector, int, def)
areEqualLeftFractions(vector, vector, int, def)
isInvertibleLeftFraction(vector, int, def)
invertLeftFraction(vector, int, def)
```

Available as part of the SINGULAR distribution.

## Examples I

Left-to-right conversion in the second rational  $q$ -shift algebra  $A$ :

```
LIB "olga.lib";
ring Q = (0,q),(x,y,Qx,Qy),dp; // commutative polynomial ring
matrix C[4][4] = UpOneMatrix(4); // defines a matrix of
C[1,3] = q; C[2,4] = q; // non-commutative relations
def A = nc_algebra(C,0); // creates A from Q
setring A;
intvec v = 1,2; // rational localization at  $K[x,y] \setminus \{0\}$ 
poly f = Qx+Qy; poly g = x^2+1;
vector frac = [g,f,0,0];
vector result = convertLeftToRightFraction(frac,2,v);
print(result);
-> [x^2+1,Qx+Qy,(q^4)*x^2*Qx+x^2*Qy+(q^2)*Qx+(q^2)*Qy,x^4+(q^2+1)*x^2+(q^2)]
```

Now `result` contains the left representation  $(x^2 + 1)^{-1}(Q_x + Q_y)$  of `frac` as well as its newly computed right representation  $(q^4 x^2 Q_x + x^2 Q_y + q^2 Q_y) \cdot (x^4 + (q^2 + 1)x^2 + q^2)^{-1}$ . Plausibility check:

```
f * result[4] == g * result[3];
-> 1
isInS(result[4],2,v);
-> 1
```



## Examples II

Basic arithmetic with two left fractions in a monoidal localization of the second Weyl algebra  $A_2$ :

```
LIB "olga.lib";
ring R = 0,(x,y,Dx,Dy),dp; // commutative polynomial ring
def A2 = Weyl(); setring A2; // creates A2 from R
poly g1 = x+3; poly g2 = x*y+y; list L = g1,g2;
vector frac1 = [g1,Dx,0,0]; vector frac2 = [g2,Dy,0,0];
vector result = addLeftFractions(frac1, frac2, 0, L); print(result);
-> [x^2*y+4*x*y+3*y, x*y*Dx+y*Dx+x*Dy+3*Dy]
```

Thus, the sum is  $(x^2y + 4xy + 3y)^{-1}(xy\partial_x + y\partial_x + x\partial_y + 3\partial_y)$ .

```
result = multiplyLeftFractions(frac1, frac2, 0, L); print(result);
-> [x^3*y^2+5*x^2*y^2+7*x*y^2+3*y^2, x*y*Dx*Dy+y*Dx*Dy-y*Dy]
```

This product is  $(x^3y^2 + 5x^2y^2 + 7xy^2 + 3y^2)^{-1}(xy\partial_x\partial_y + y\partial_x\partial_y - y\partial_y)$ .

```
result = multiplyLeftFractions(frac2, frac1, 0, L); print(result);
-> [x^2*y+4*x*y+3*y, Dx*Dy]
```

In this order, the product is  $(x^2y + 4xy + 3y)^{-1}(\partial_x\partial_y)$ .



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