

# **Introduction to Earthquake Engineering**

## **Seismic Design of Conventional Structures**

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# Basic Design Requirements

**EC 8 - 2**

**ultimate limit state**



**10 % in 50 years  $\Rightarrow$  return Period: 475 years**

## No Collapse Requirement:

The structure shall be designed and constructed to withstand the seismic action defined in Section 3 without local or global collapse, thus retaining its structural integrity and a residual load bearing capacity after the seismic events<sup>5</sup>. The reference seismic action is associated with a reference probability of exceedance in 50 years and a reference return period.

## Damage Limitation Requirement:

The structure shall be designed and constructed to withstand a seismic action having a larger probability of occurrence than the seismic action used for the verification of the “no collapse requirement”, without the occurrence of damage and the associated limitations of use, the costs of which would be disproportionately high in comparison with the costs of the structure itself. The reference seismic action to be taken into account for the “damage limitation requirement” has a low probability of exceedance in 10 years. In the absence of more precise information, the reduction factor presented in 4.4.3.2 may be used to obtain the seismic action for the verification of the “damage limitation requirement”.

**serviceability limit state**



**10 % in 10 years  $\Rightarrow$  return Period: 95 years**

# Basic Design Requirements

## EC 8 - 2 Importance Categories:

(3)P Reliability differentiation is implemented by classifying structures into different importance categories. To each importance category an importance factor  $\gamma_I$  is assigned. Wherever feasible this factor should be derived so as to correspond to a higher or lower value of the return period of the seismic event (with regard to the reference return period), as appropriate for the design of the specific category of structures (see 3.2.1(3)).

Importance category	Buildings	$g_1$
IV	Buildings whose integrity during earthquake is of vital importance for civil protection, e.g. hospitals, fire stations, power plants, etc.	1,4
III	Buildings whose seismic resistance is of importance in view of the consequences associated with a collapse, e.g. schools, assembly halls, cultural institutions, etc.	1,2
II	Ordinary buildings, not belonging to the other categories	1,0
I	Buildings of minor importance for public safety, e.g. agricultural building, etc.	0,8

Recommended  
importance factors

# Representation of Seismic Action

## Seismic Zones

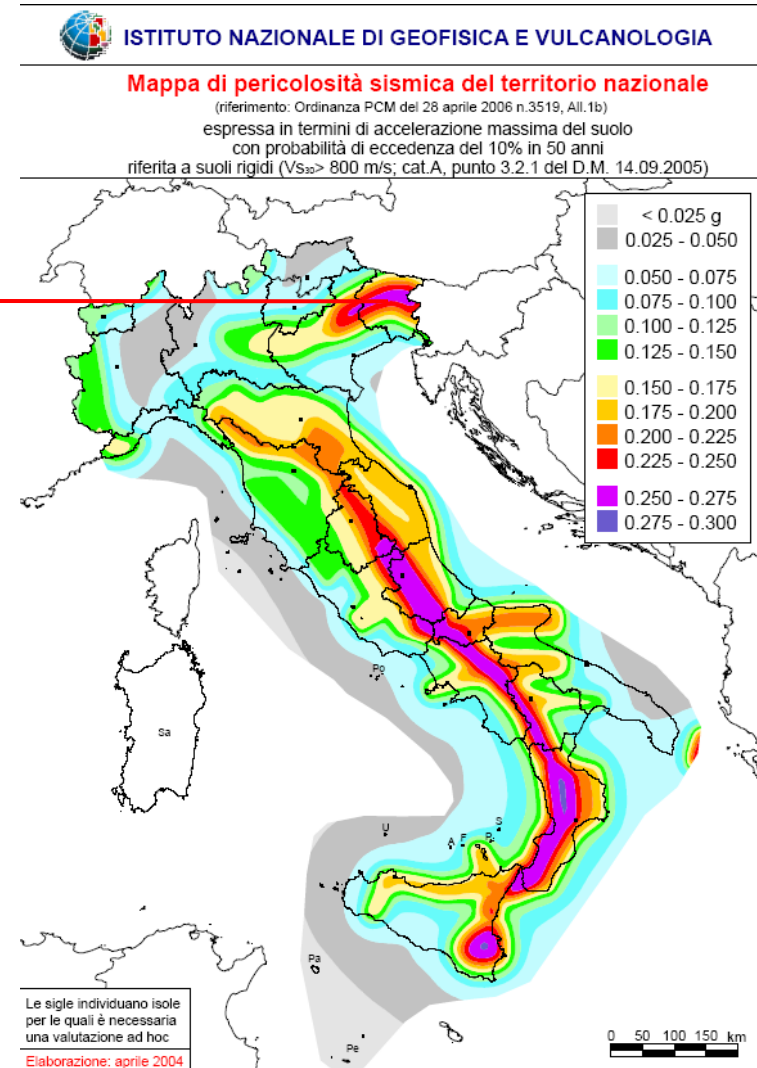
Reference peak-ground acceleration:

$$a_{gR} = 0.275 \text{ g}$$

$$a_g = 0.275 \times 1.2 = 0.33 \text{ g}$$

Importance factor building category III

Udine



# Representation of Seismic Action

## Classification of Subsoil Classes

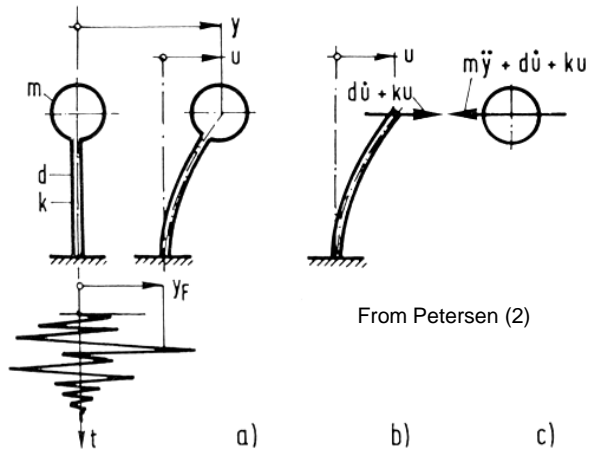
EC 8 - 3

Subsoil class	Description of stratigraphic profile	Parameters		
		$V_{s,30}$ (m/s)	$N_{SPT}$ (bl/30cm)	$c_u$ (kPa)
A	Rock or other rock-like geological formation, including at most 5 m of weaker material at the surface	> 800	–	–
B	Deposits of very dense sand, gravel, or very stiff clay, at least several tens of m in thickness, characterised by a gradual increase of mechanical properties with depth	360 – 800	> 50	> 250
C	Deep deposits of dense or medium-dense sand, gravel or stiff clay with thickness from several tens to many hundreds of m	180 – 360	15 - 50	70 - 250
D	Deposits of loose-to-medium cohesionless soil (with or without some soft cohesive layers), or of predominantly soft-to-firm cohesive soil	< 180	< 15	< 70
E	A soil profile consisting of a surface alluvium layer with $V_{s,30}$ values of class C or D and thickness varying between about 5 m and 20 m, underlain by stiffer material with $V_{s,30} > 800$ m/s			
S <sub>1</sub>	Deposits consisting – or containing a layer at least 10 m thick – of soft clays/silts with high plasticity index (PI > 40) and high water content	< 100 (indicative)	–	10 - 20
S <sub>2</sub>	Deposits of liquefiable soils, of sensitive clays, or any other soil profile not included in classes A –E or S <sub>1</sub>			

# Representation of Seismic Action in Codes

## Elastic Response Spectra

viscously damped SDOF oscillator

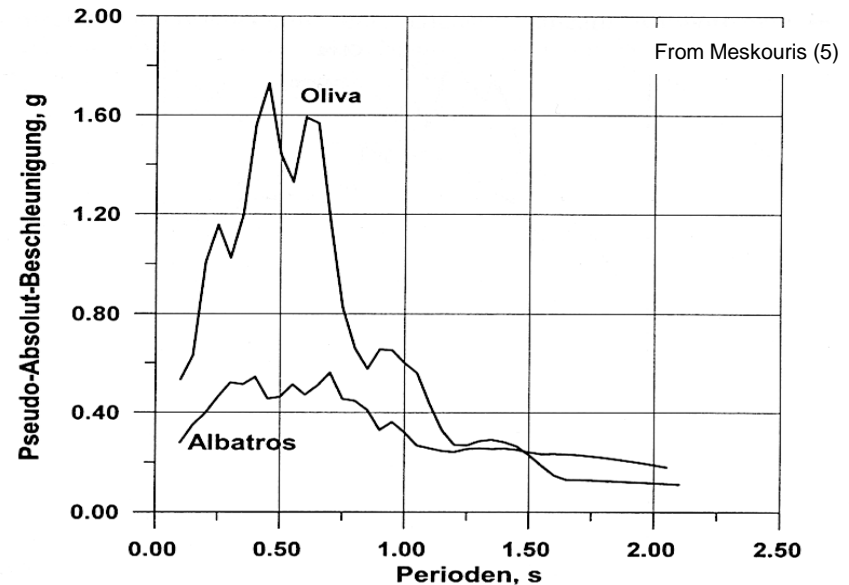


$$m \cdot \ddot{u} + d \cdot \dot{u} + k \cdot u = -m \cdot \ddot{y}_F(t) \quad \left| \cdot \frac{1}{m} \right.$$

$$\ddot{u} + 2 \cdot \omega \cdot \zeta \cdot \dot{u} + \omega^2 \cdot u = -\ddot{y}_F(t)$$

where: Eigenfrequency:  $\omega = \sqrt{\frac{k}{m}}$

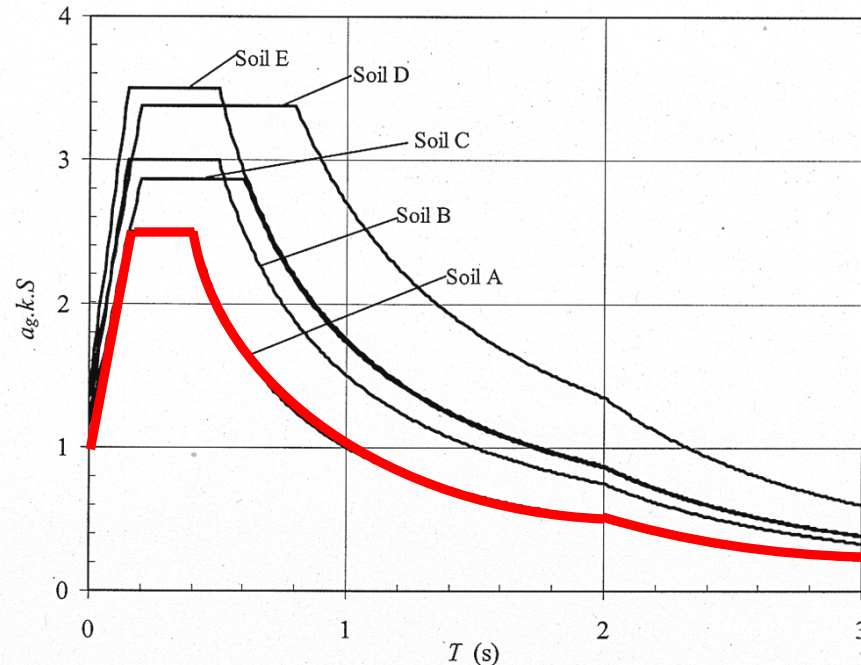
Damping ratio:  $\zeta = \frac{d}{2 \cdot m \cdot \omega}$



- solving this equation for various  $\omega$  and  $\zeta$ , but only for one specific accelerogram
- the maximum absolute acceleration of this solution gives us the abscissa for the following diagram

# Representation of Seismic Action in Codes

## Horizontal Elastic Design Spectra



Subsoil Class	$S$	$T_B$ (s)	$T_C$ (s)	$T_D$ (s)
A	1,0	0,15	0,4	2,0
B	1,2	0,15	0,5	2,0
C	1,15	0,20	0,6	2,0
D	1,35	0,20	0,8	2,0
E	1,4	0,15	0,5	2,0

$$0 \leq T \leq T_B: \quad S_e(T) = a_g \cdot k \cdot S \cdot \left[ 1 + \frac{T}{T_B} \cdot (\eta \cdot 2,5 - 1) \right]$$

$$T_B \leq T \leq T_C: \quad S_e(T) = a_g \cdot k \cdot S \cdot \eta \cdot 2,5$$

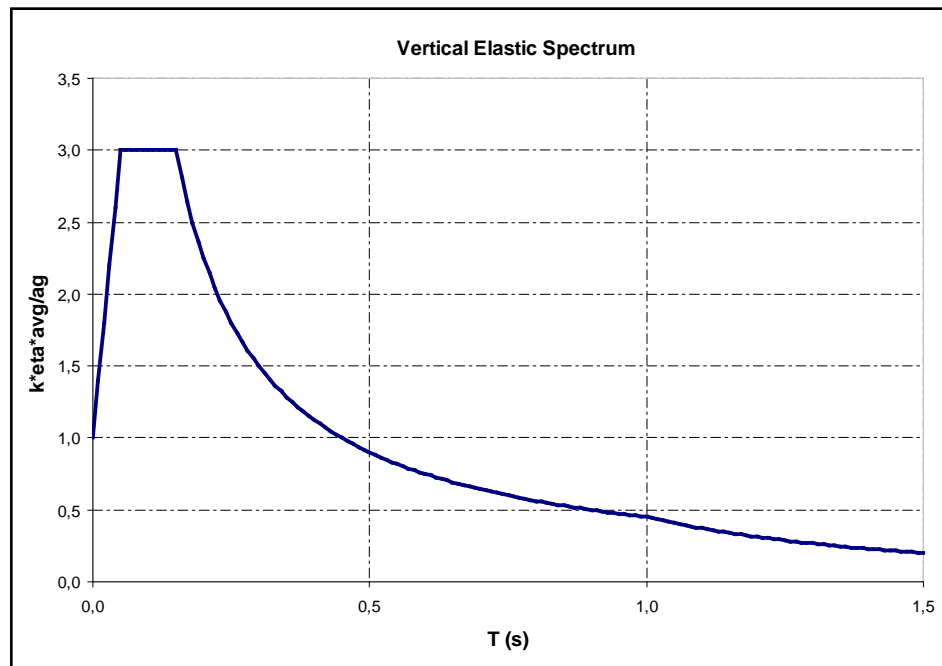
$$T_c \leq T \leq T_D: \quad S_e(T) = a_g \cdot k \cdot S \cdot \eta \cdot 2,5 \left[ \frac{T_C}{T} \right]$$

$$T_D \leq T \leq 4 \text{ sec}: \quad S_e(T) = a_g \cdot k \cdot S \cdot \eta \cdot 2,5 \left[ \frac{T_C \cdot T_D}{T^2} \right]$$

**EC 8 - 3**

# Representation of Seismic Action in Codes

## Vertical Elastic Design Spectra



**EC 8 - 3**

Spectrum	$a_{vg}/a_g$	$T_B$ (s)	$T_C$ (s)	$T_D$ (s)
Type 1	0,90	0,05	0,15	1,0

$$0 \leq T \leq T_B: \quad S_{ve}(T) = a_{v,g} \cdot k \left[ 1 + \frac{T}{T_B} \cdot (\eta \cdot 3,0 - 1) \right]$$

$$T_B \leq T \leq T_C: \quad S_{ve}(T) = a_{v,g} \cdot k \cdot \eta \cdot 3,0$$

$$T_C \leq T \leq T_D: \quad S_{ve}(T) = a_{v,g} \cdot k \cdot \eta \cdot 3,0 \cdot \left[ \frac{T_C}{T} \right]$$

$$T_D \leq T \leq 4 \text{ sec}: \quad S_{ve}(T) = a_{v,g} \cdot k \cdot \eta \cdot 3,0 \cdot \left[ \frac{T_C \cdot T_D}{T^2} \right]$$



# Representation of Seismic Action in Codes

## Time-History Representation

### EC 8 - 3

- General:**
- representation of seismic motion in terms of ground acceleration time-history is allowed
  - for spatial models the same accelerogram should not be used simultaneously along both horizontal directions
  - the description of seismic action may be made by using artificial accelerograms

### Artificial accelerograms:

- Artificial accelerograms shall be generated to match the elastic response spectra given by EC 8
- the duration of the generated accelerogram shall be consistent with the relevant features of the seismic event underlying the establishment of  $a_g$
- the number of accelerograms to be used shall be such as to give a stable statistic measure of the response quantities of interest

### Recorded or simulated accelerograms:

- The use of recorded or simulated accelerograms is allowed if the used samples are adequately qualified with regard to the seismogenic features of the sources and to the soil conditions for the site of question

# Representation of Seismic Action in Codes

## Inertia Effects

**EC 8 - 3** (1)P The design value  $E_d$  of the effects of actions in the seismic design situation shall be determined according to 6.4.3.4 of EN1990

(2)P The inertial effects of the seismic action shall be evaluated by taking into account the presence of the masses associated to all gravity loads appearing in the following combination of actions:

$$\Sigma G_{kj} + \Sigma \psi_{Ei} \cdot Q_{ki} \quad (3.16)$$

where

$\psi_{Ei}$  combination coefficient for variable action  $i$ .

(3) The combination coefficients  $\psi_{Ei}$  take into account the likelihood of the loads  $\psi_{2i} \cdot Q_{ki}$  being not present over the entire structure during the occurrence of the earthquake. These coefficients may also account for a reduced participation of masses in the motion of the structure due to the non-rigid connection between them.

(4) Values of  $\psi_{2i}$  are given in EN 1990 and values of  $\psi_{Ei}$  for buildings or other types of structures are given in the relevant Parts of EN 1998.

# Combination With Other Actions

## Combination Coefficients for Variable Actions

**EC 8 - 4** (1)P The combination coefficients  $\psi_{2i}$  for the design of buildings (see 3.2.4(1)P) are given in Annex A1 of EN 1990.

(2)P The combination coefficients  $\psi_{Ei}$  introduced in 3.2.4(2)P for the calculation of the effects of the seismic actions shall be computed from the following expression:

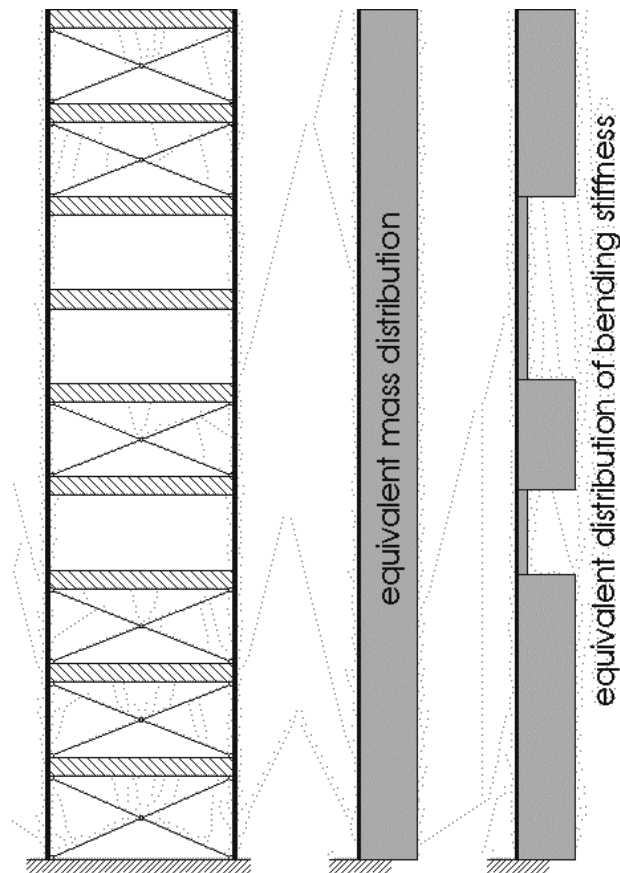
$$\psi_{Ei} = \varphi \cdot \psi_{2i} \quad (4.2)$$

where the values of  $\varphi$  shall be obtained from Table 4.2.

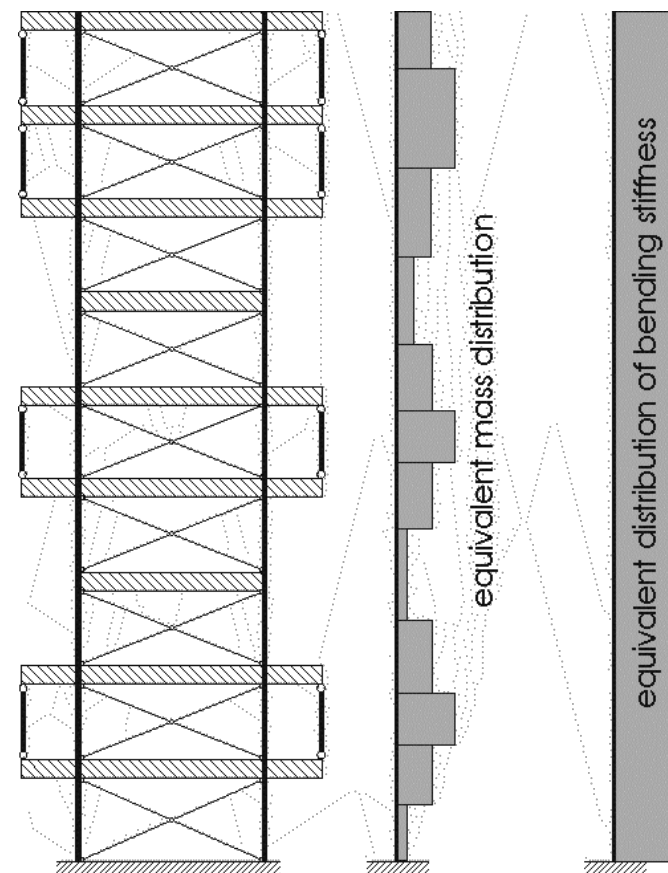
Type of variable Action	Occupation of storeys	Storey	$\varphi$
Categories A-C*	storeys independently occupied	roof	1,0
		other storeys	0,5
Categories A-C*	some storeys having correlated occupancies	roof	1,0
		storeys with correlated occupancies	0,8
		other storeys	0,5
Categories D-F* and Archives			1,0

# Structural Requirements

## Regularity



- masses regular
- stiffness irregular

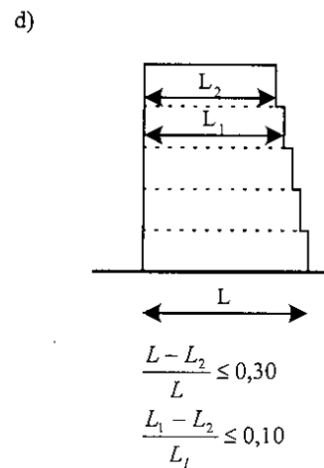
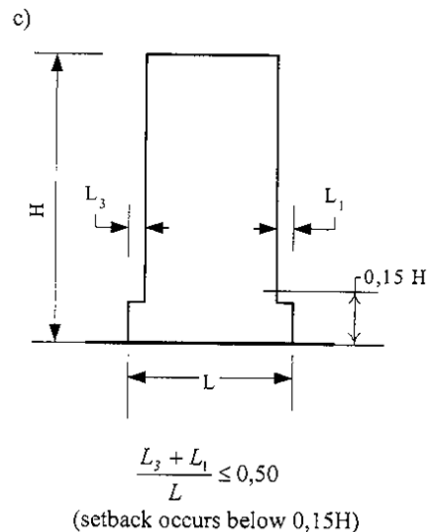
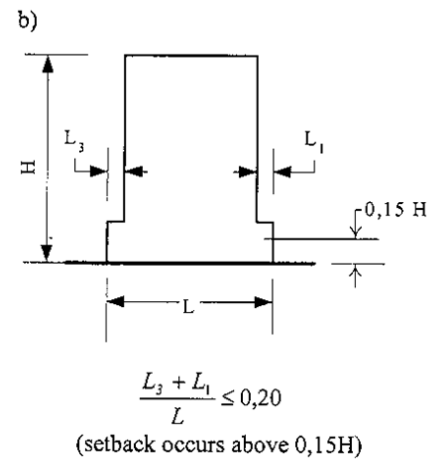
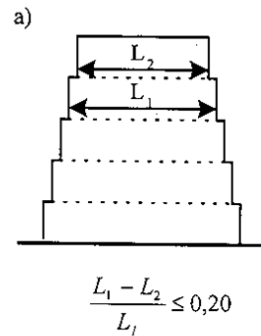


- stiffness regular
- masses irregular

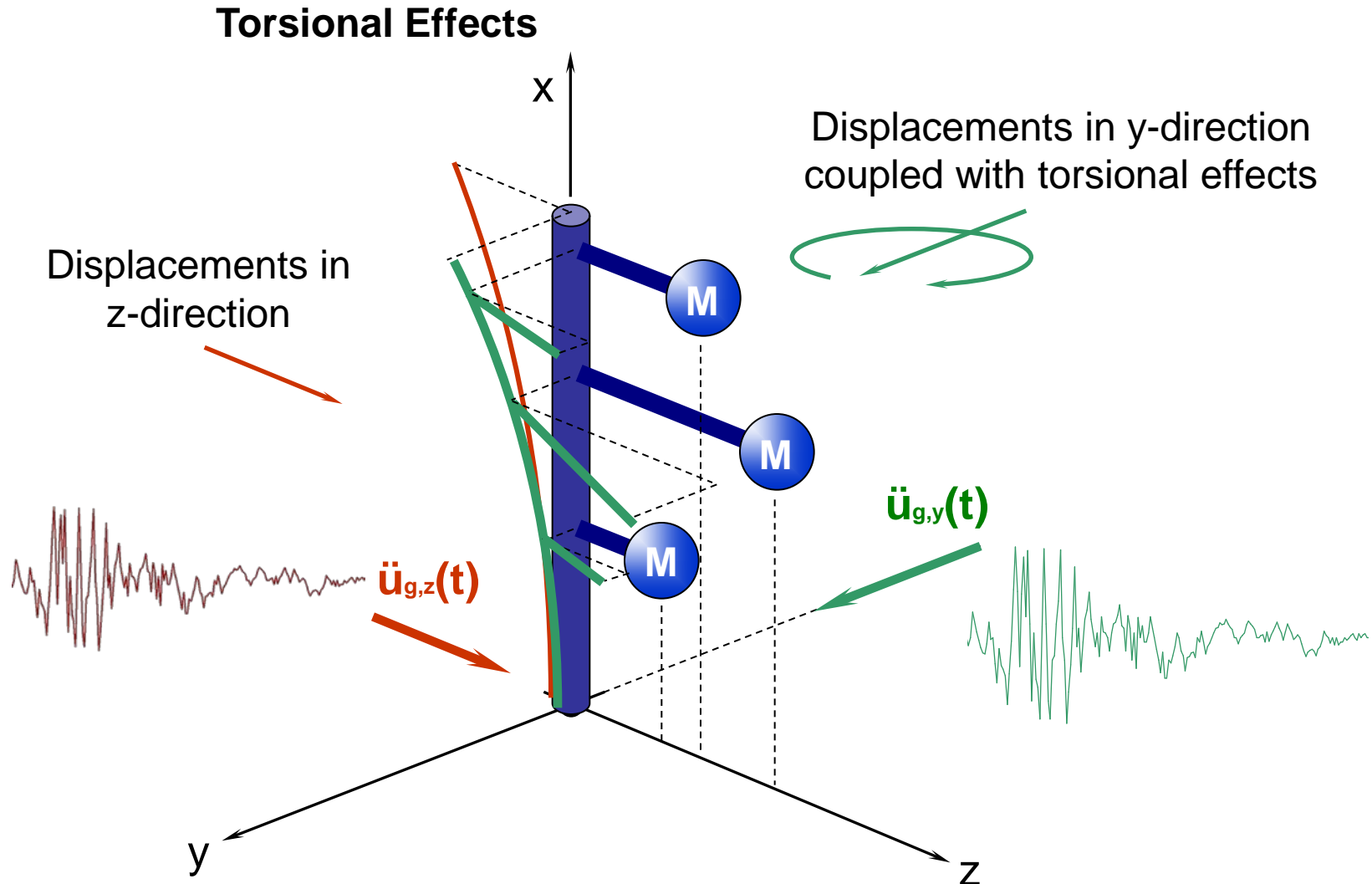
# Structural Requirements

## Regularity

EC 8 - 4

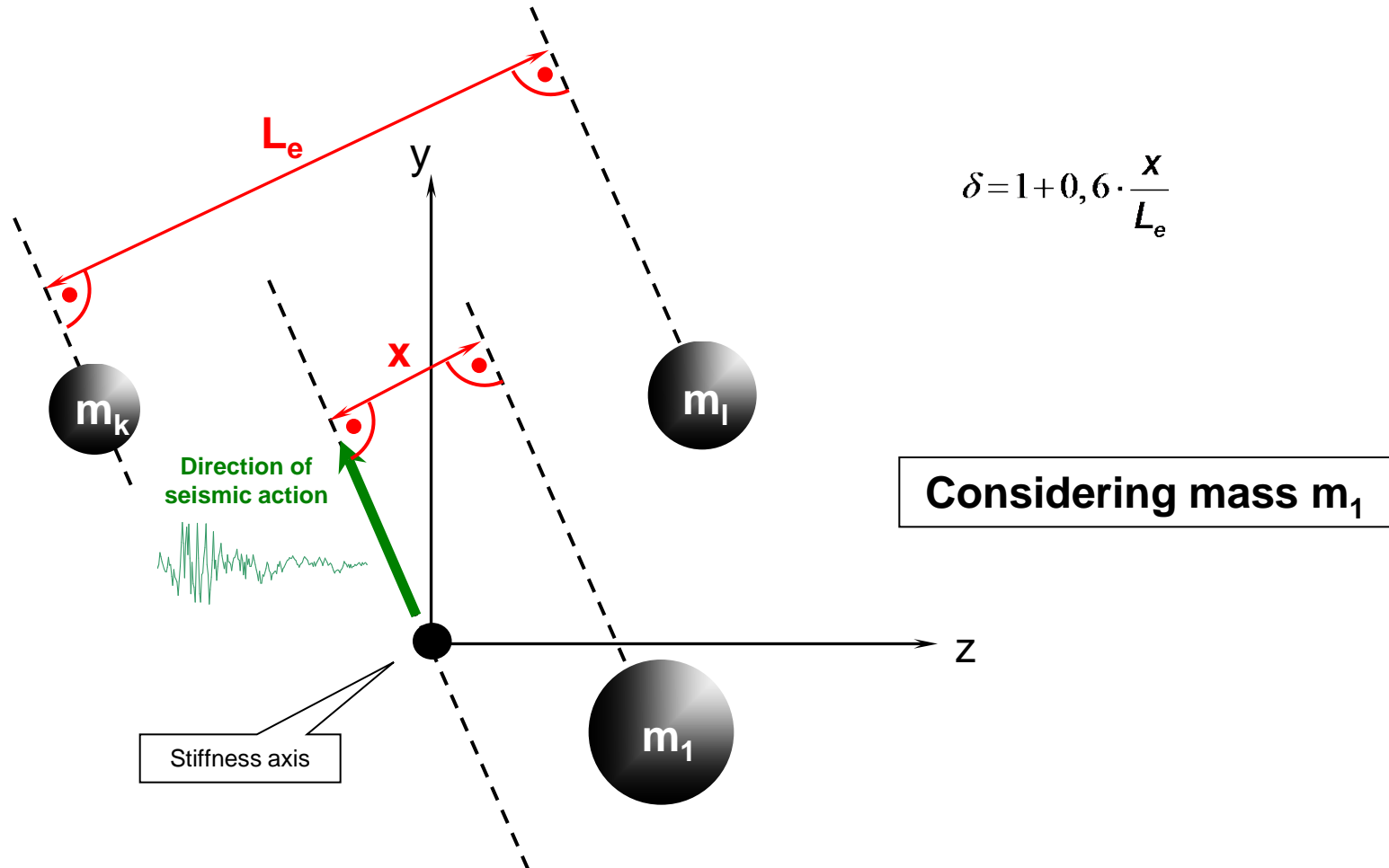


# Structural Requirements



# Structural Requirements

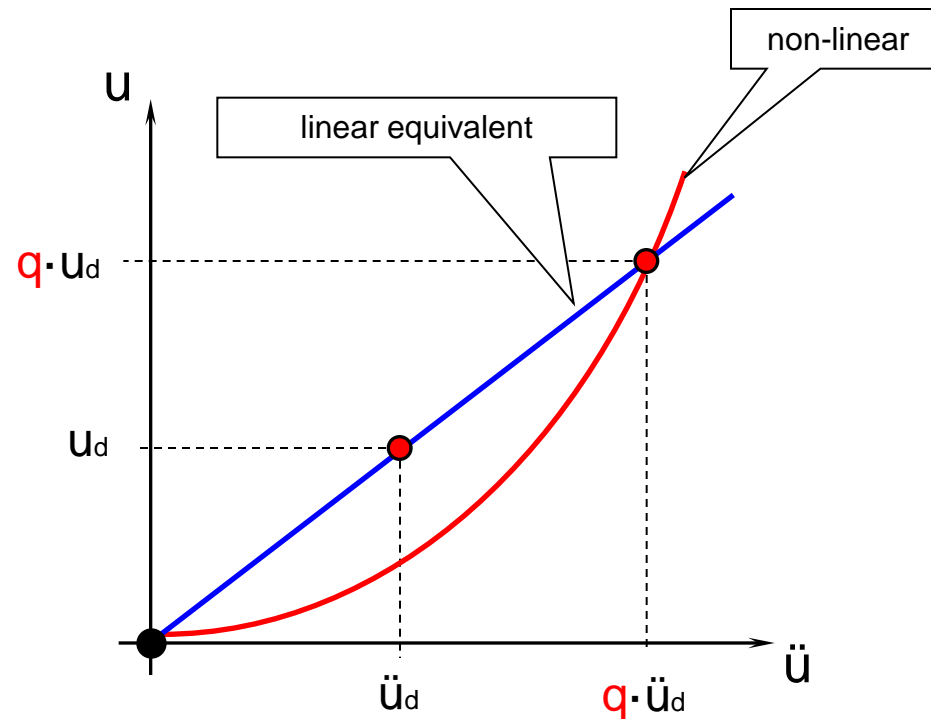
- The accidental torsional effects may be accounted for by multiplying the action effects resulting in the individual load resisting elements from above with the following factor:



# Linear Methods of Analysis

## Definition of the $q$ – Faktor

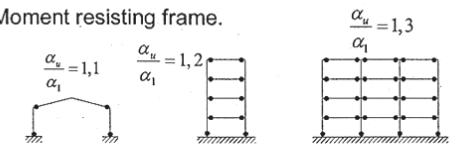
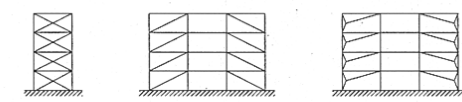



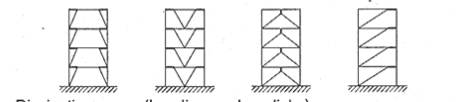

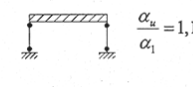
to account for non-linear behavior

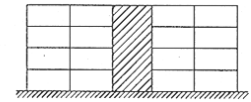
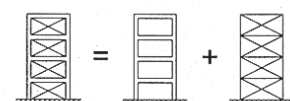
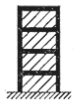




# Linear Methods of Analysis

## q-Factors According to EC-8

	Ductility Class	
	H	M
<p>a) Moment resisting frame.</p>  <p>• Dissipative zones in the beams and bottom of columns</p>	$5 \frac{\alpha_u}{\alpha_1}$	4
<p>b) Frame with concentric bracings.</p> <p>Diagonal bracings.</p>  <p>Dissipative zones -tension diagonals only-.</p>	4	4
<p>V - bracings.</p> <p>a)  b)  c) </p> <p>Dissipative zones (tension &amp; compression diagonals).</p>	2,5	2
<p>c) Frame with eccentric bracings.</p>  <p>- Dissipative zones (bending or shear links).</p>	$5 \frac{\alpha_u}{\alpha_1}$	4
<p>d) Inverted pendulum.</p>  <p><math>\frac{\alpha_u}{\alpha_1} = 1</math></p>  <p><math>\frac{\alpha_u}{\alpha_1} = 1,1</math></p> <p>- Dissipative zones at the column base.</p> <p>- Dissipative zones in columns</p> <p><math>N_{Sd} / N_{Pl,Rd} &gt; 0,3</math></p>	$2 \frac{\alpha_u}{\alpha_1}$	2

	Ductility Class	
	H	M
<p>e) Structures with concrete cores or concrete walls.</p>  <p>See section 5.</p>		
<p>f) Moment resisting frame with concentric bracing.</p>  <p><math>\frac{\alpha_u}{\alpha_1} = 1,2</math></p> <p>Dissipative zones: in moment frame and in tension diagonals.</p>	$4 \frac{\alpha_u}{\alpha_1}$	4
<p>g) Moment resisting frames with infills.</p> 		
<p>Unconnected concrete or masonry infills, in contact with the frame.</p>	2	2
<p>Connected reinforced concrete infills.</p>	See section 7.	
<p>Infills isolated from moment frame: see moment frames.</p>	$5 \frac{\alpha_u}{\alpha_1}$	4

# Linear Methods of Analysis

## Non-Linear Design Spectrum

**EC 8 - 3**

$$0 \leq T \leq T_B : S_d(T) = a_g \cdot S \cdot \left[ \frac{2}{3} + \frac{T}{T_B} \cdot \left( \frac{2,5}{q} - \frac{2}{3} \right) \right]$$

$$T_B \leq T \leq T_C : S_d(T) = a_g \cdot S \cdot \frac{2,5}{q}$$

$$T_C \leq T \leq T_D : S_d(T) \begin{cases} = a_g \cdot S \cdot \frac{2,5}{q} \cdot \left[ \frac{T_C}{T} \right] \\ \geq \beta \cdot a_g \end{cases}$$

$$T_D \leq T : S_d(T) \begin{cases} = a_g \cdot S \cdot \frac{2,5}{q} \cdot \left[ \frac{T_C \cdot T_D}{T^2} \right] \\ \geq \beta \cdot a_g \end{cases}$$

$S_d(T)$ : *ordinate of the design spectrum*

$q$ : *behaviour factor*

$\beta$ : *lower bound factor for the spectrum*  
*recommended value:  $\beta = 0,2$*

# Linear Methods of Analysis

## Lateral Force Method

- General:**
- structures can be analysed by a planar model for both horizontal directions
  - higher modes do not have a significant influence on the structural response.

- These requirements deemed to be satisfied in buildings which fulfil **regularity requirements** and both of the following conditions

$$T_1 \leq \begin{cases} 4 \cdot T_c & \text{where } T_1 \text{ is the highest natural Period} \\ 2,0 \text{ sec} & \text{for one of the both main direction} \end{cases}$$

Subsoil Class	$S$	$T_B$ (s)	$T_C$ (s)	$T_D$ (s)
A	1,0	0,15	0,4	2,0
B	1,2	0,15	0,5	2,0
C	1,15	0,20	0,6	2,0
D	1,35	0,20	0,8	2,0
E	1,4	0,15	0,5	2,0

### Base shear force:

- The seismic base shear force  $F_b$  for each main direction is determined as follows

$$F_b = S_d(T_1) \cdot m \cdot \lambda$$

- $S_d(T_1)$       ordinate of the chosen design spectrum at period  $T_1$
- $T_1$               highest natural Period of the structure in the direction considered
- $m$                total mass of the building in regard to other actions
- $\lambda$                 correction factor
- $\lambda = 0,85$  if  $T_1 \leq 2 \cdot T_c$ , or  $\lambda = 1,0$  otherwise

# Linear Methods of Analysis

## Lateral Force Method

Simplified analysis of 1st mode period  $T_1$ :

$$T_1 = C_t \cdot H^{3/4}$$

For structures lower than 40 m:

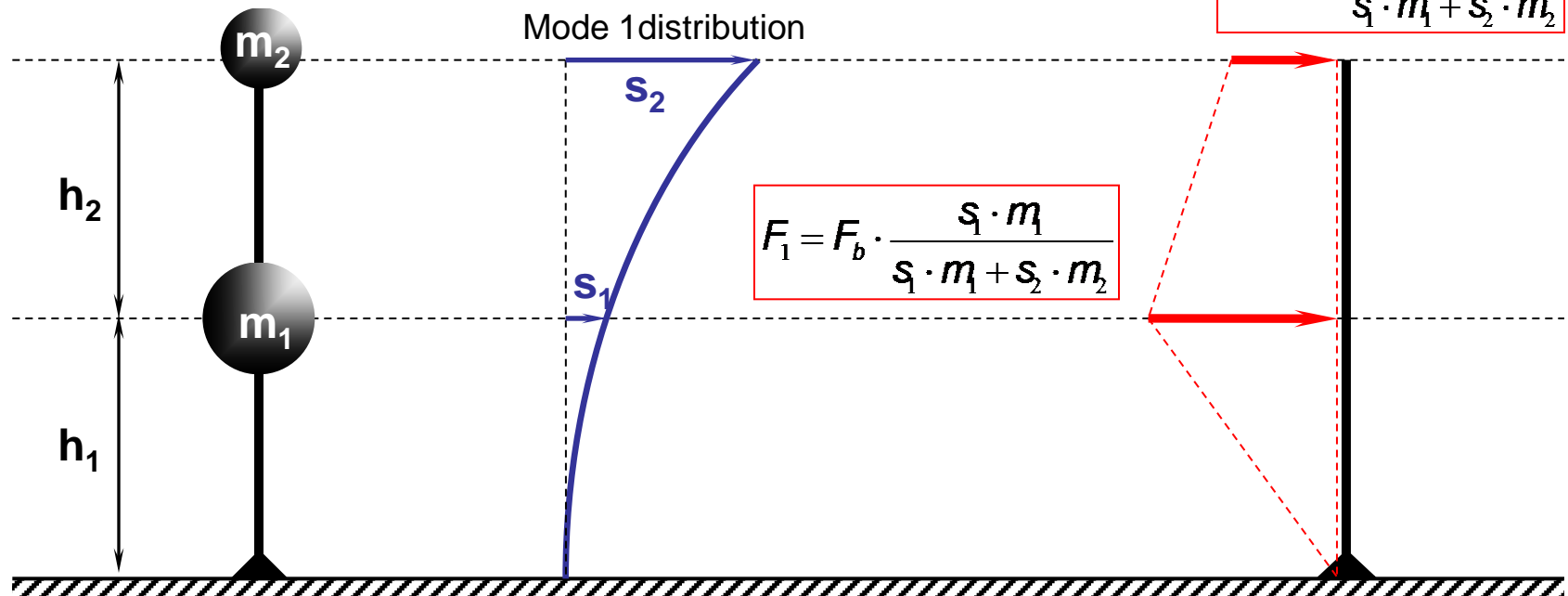
- $C_t = 0,085$  for steel frames
- $C_t = 0,075$  for rc- frames and excentrally braced steel frames
- $C_t = 0,05$  for all other structures

Distribution of the horizontal seismic forces:

$$F_i = F_b \cdot \frac{s_i \cdot m_i}{\sum s_j \cdot m_j}$$

- The seismic action effects shall be determined by applying horizontal forces  $F_i$  to all storey masses  $m_i$

$$F_2 = F_b \cdot \frac{s_2 \cdot m_2}{s_1 \cdot m_1 + s_2 \cdot m_2}$$



# Linear Methods of Analysis

## Response Spectrum Analysis

### General:

- the structures have to comply with the criteria for **regularity**
- in some cases the structure shall be analysed using a spatial model
- the response of all modes of vibration contributing significantly to the global response shall be taken into account
  - demonstrating that the sum of the effective modal masses for the modes taken into account amounts to at **least 90% of total mass** of the structure
  - demonstrating that all modes with effective modal masses **greater than 5% of the total mass** are considered

### Combination of modal responses:

- the response in two vibration modes  $i$  and  $j$  may be considered as independent of each other, if their Periods  $T_i$  and  $T_j$  satisfy the following condition:
 
$$T_i \leq 0,9 \cdot T_j$$
- whenever all relevant modal responses may be regarded as independent of each other, the maximum value of the global response may be taken as:

$$S = \sqrt{\sum_{j=1}^n S_j^2}$$

# Non-Linear Methods of Analysis

## Static Push-Over Analysis

### General:

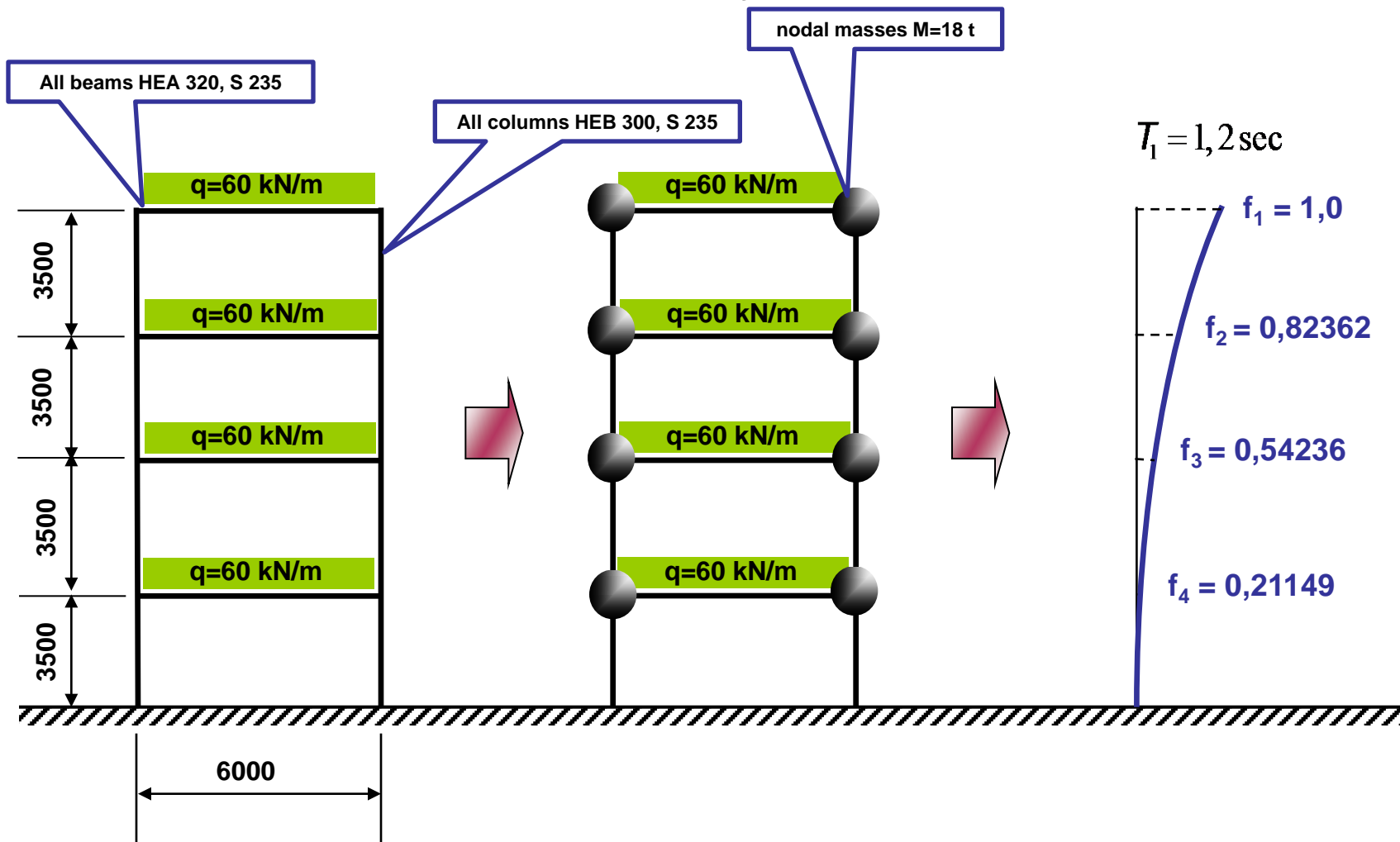
- A nonlinear static analysis under constant gravity loads and monotonically increasing horizontal loads
- It is possible to analyse the structure with two perpendicular planar models

### Lateral loads:

- EC 8 requires the analysis of two vertical distributions of lateral forces:
  1. a ,uniform‘ pattern with lateral forces that are proportional to the masses
  2. a ,modal‘ pattern proportional to the lateral force distribution determined from linear analysis (see “Linear Methods of Analysis”)

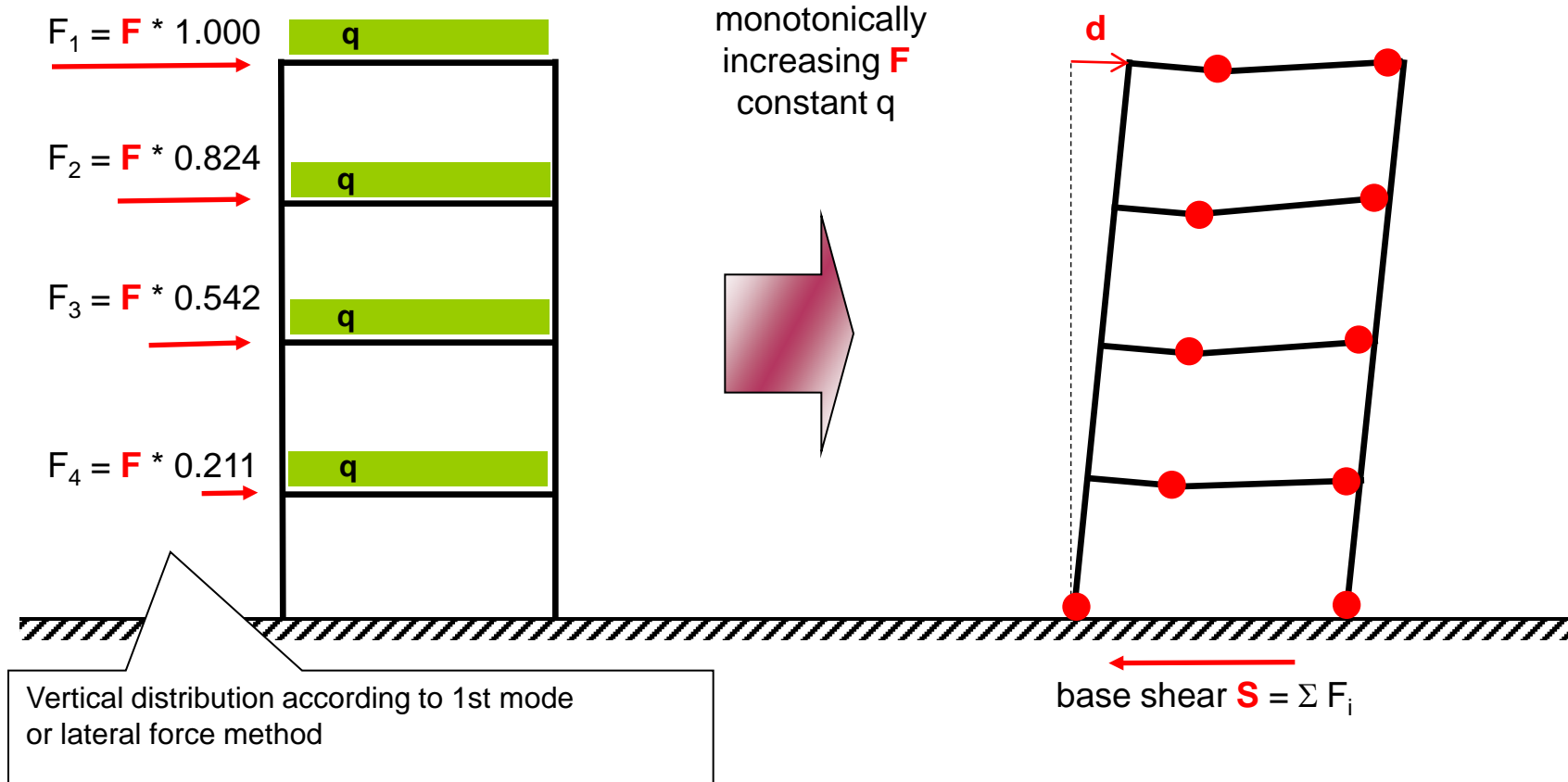
# Non-Linear Methods of Analysis

## Static Push-Over Analysis - Example



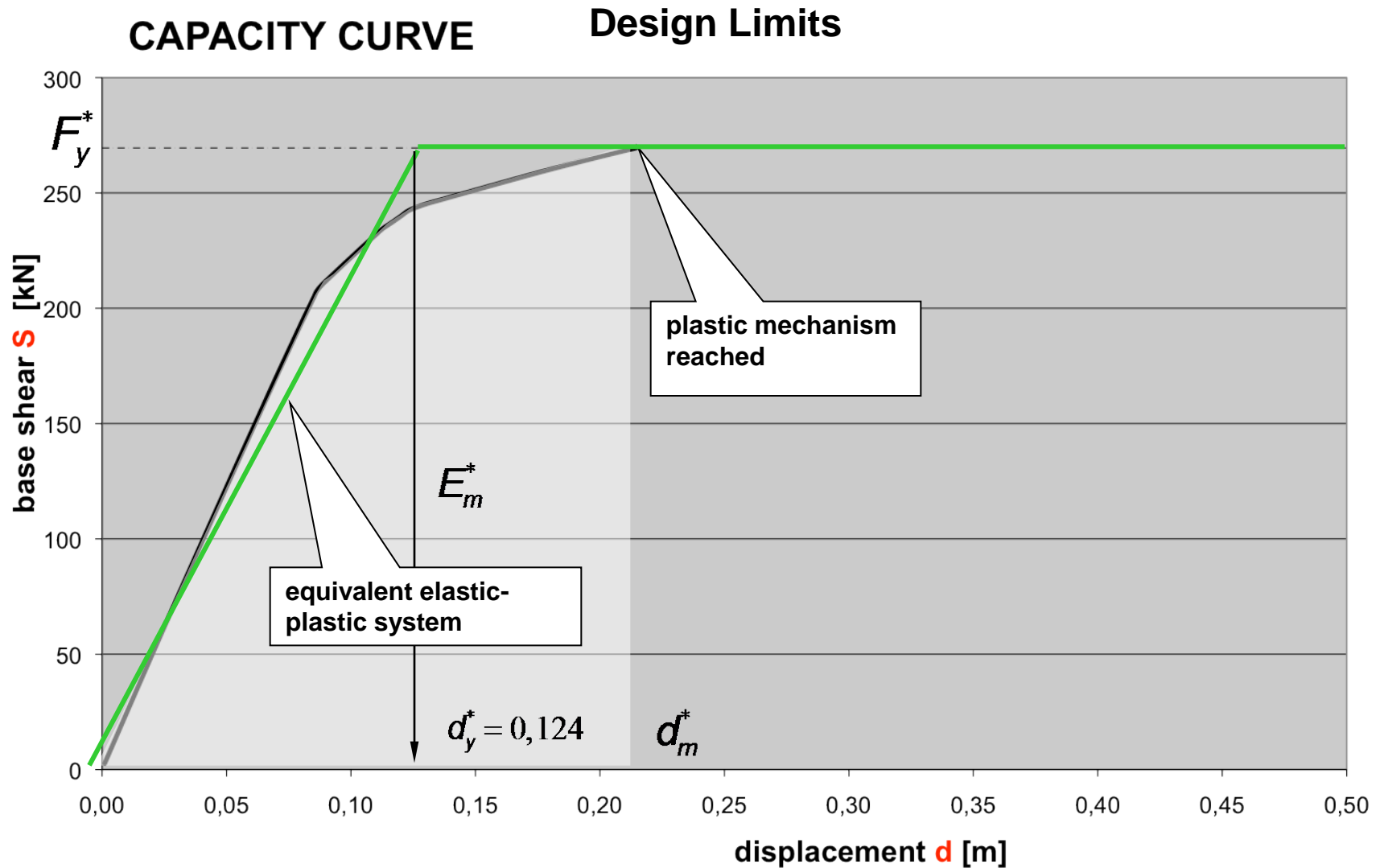
# Non-Linear Methods of Analysis

## Static Push-Over Analysis - Example





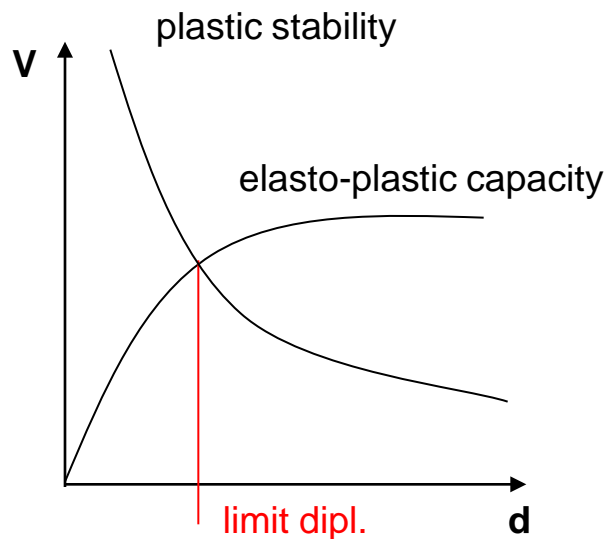
# Non-Linear Methods of Analysis



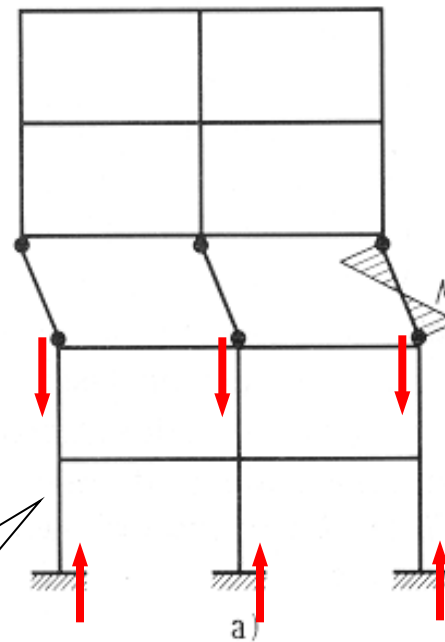
# Non-Linear Methods of Analysis

## Design Limits

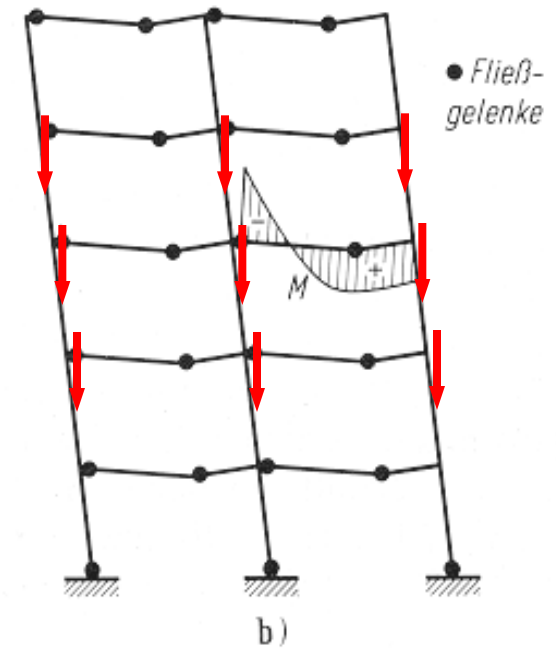
non-linear cyclic behavior of frames



Failure in soft storey



From Müller, Keintzel (2)

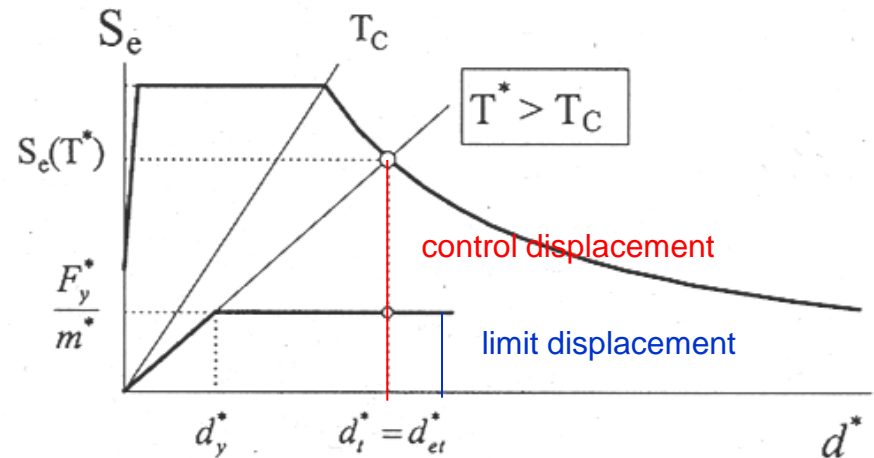
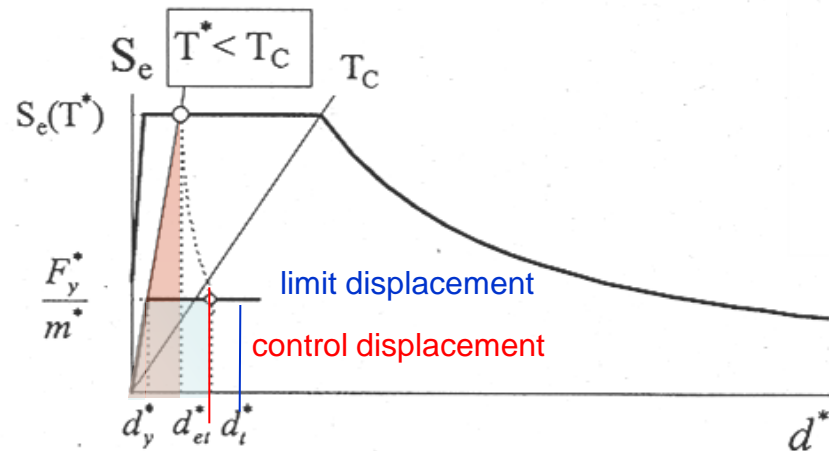


# Non-Linear Methods of Analysis

## Static Push-Over Analysis - Verification

Assuming an elastic system, the relationship between accelerations and displacements is given by:

$$u = \left( \frac{T}{2 \cdot \pi} \right)^2 \cdot \ddot{u}$$



elastic displ. ~ plastic displ.

elastic energy ~ plastic energy

# Non-Linear Methods of Analysis

## Time History

- Non-linear structural models under a number of (generated) earthquakes
- Using less than 7, peak non-linear displacements must be used for design
- With 7 or more, average displacements can be used

## Design limits may be:

- Ultimate deformation capacity of a member (e.g. rotational capacity of a plastic hinge)
- Overall plastic instability due to vertical loads

# References

- (1) Wakabayashi –  
Design of Earthquake-Resistant Buildings  
McGraw-Hill Book Company
- (2) Müller, Keintzel –  
Erdbebensicherung von Hochbauten  
Verlag Ernst & Sohn
- (3) Petersen –  
Dynamik der Baukonstruktionen  
Vieweg
- (4) Clough, Penzien –  
Dynamics of Structures  
McGraw-Hill
- (5) Chopra –  
Dynamics of Structures  
Prentice Hall
- (6) Meskouris–  
Baudynamik  
Ernst & Sohn