

Bei der vorliegenden Übersetzung handelt es sich um eine nichtamtliche Lesefassung der Besonderen Bestimmungen für Promotionen des Fachbereichs Humanwissenschaften der Universität Kassel zu den Allgemeinen Bestimmungen für Promotionen an der Universität Kassel (AB-PromO) vom 14. Juli 2021.

Maßgeblich und rechtlich verbindlich ist nur der im Mitteilungsblatt der Universität Kassel veröffentlichte Text.

*The translations do not have any legal binding effects, the official versions in German are published in Mitteilungsblatt der Universität Kassel.*

## **New Version of the Special Provisions of the Faculty of Human Sciences at the University of Kassel related to the General Provisions for Doctoral Degrees at the University of Kassel (AB-PromO) dated 14 July 2021**

### **§ 1 Scope of application**

According to Section 24 of the AB-PromO, the Faculty of Human Sciences at the University of Kassel is issuing the following Special Provisions to complement the General Provisions for Doctoral Degrees at the University of Kassel (AB-PromO), both in their latest version.

### **§ 2 Doctoral degrees, doctoral degree subjects**

According to Section 1 Para. 2 of the AB-PromO, the Faculty of Human Sciences awards the following academic degrees after the completion of a proper doctoral procedure:

1. Doctor of Philosophy (Dr. Phil.) in the doctoral degree subjects of education, music education, musicology, psychology, social work, social education, coaching/mediation sciences, psychoanalysis, public health, social therapy or the sociology of social problems;
2. Doctor of Economics and Social Sciences (Dr. rer. pol.) in the doctoral degree subjects of social policy/social management and gerontology;
3. Doctor of Law (Dr. jur.) in the doctoral degree subject of law.

### **§ 3 Doctoral examination committee**

- 1) According to Section 2 Para. 1 of the AB-PromO, the Faculty of Human Sciences must form a doctoral examination committee for its area of responsibility; the committee is then responsible for awarding doctoral degrees, with the exception of "Doctor of Law (Dr. jur.)".
- 2) According to Section 2 Para. 4 of the AB-PromO, the Faculties of Human Sciences and Economics must form a joint doctoral examination committee for the "Doctor of Law (Dr. jur.)" degree. The joint doctoral examination committee must consist of one professor from the Faculty of Human Sciences, two professors from the Faculty of Economics, one research fellow and one student. At least two members of the group of professors must be head of a specialist field in law.

### **§ 4 Acceptance requirements**

- 1) According to Section 3 Para. 1 a), b) or c) of the AB-PromO, the crucial precondition for acceptance as a doctoral candidate is the completion of a relevant academic degree in the subjects mentioned in Section 2 or in subjects superior to them (e.g. sociology). Evidence of completion shall be provided by a Diplom, Magister or master's degree examination or the first state examination in the L3 teacher training courses (grammar schools) or L5 (special needs education with a special focus on inclusion). In any cases of doubt, the doctoral examination committee must check on the basis of a written statement by the supervisor whether the subjects may apply as relevant subjects for the main degree course, which is designed to create the conditions for the doctorate in the relevant doctoral degree subject.

- 2) According to Section 3 Para. 2 of the AB-PromO, candidates are only accepted after successfully passing an assessment of eligibility as a doctoral candidate. The assessment of eligibility takes place according to the relevant master's degree examination regulations for the doctoral degree subject that is being sought or the examination regulations of a specialist, equivalent master's degree course. Graded coursework and examination work related to the doctoral degree subject must be completed up to a maximum figure of 60 credits. If degrees are available in the L1 (primary school) or L2 (secondary schools) teacher training courses, at least 30 credits must be completed. Any examinations that are not passed may be repeated once. The assessment of eligibility takes place on the basis of the application documents that are submitted. A statement by the supervisor on the academic quality and feasibility of the project (consisting of at least one page) is required for the assessment of eligibility. The statement must contain information about the type and scope of the coursework and the examinations to be completed, if applicable. The doctoral examination committee must set and communicate the type and scope of the coursework and examination work.

The assessment of eligibility may be waived according to Section 3 Para. 6 of the AB-PromO if candidates provide evidence of having spent several years in teaching and/or research work at universities or recognised research institutes according to Section 3 Para. 2 of the AB-PromO or have special practice that is academically relevant. This additional knowledge and these skills, which have been acquired before making their application, must be documented by the applicants in a suitable manner and submitted to the doctoral examination committee. The teaching and research work or relevant practice must be closely related to the doctoral degree subject. A statement by the supervisor must be submitted to the doctoral examination committee to determine whether the academic qualifications are adequate or not; this must contain a comprehensible assignment of CPs to the academic work performed by the candidate and be verified in a suitable form.

- 3) If no relevant specialist degree is available according to Section 3 Para. 3 of the AB-PromO, the doctoral examination committee must decide whether to accept the doctoral candidate. The doctoral examination committee may request a statement by the supervisor about the type and scope of the coursework and examination work needing to be completed for this purpose.

It is possible to forego the imposed conditions according to Section 3 Para. 6 of the AB-PromO if the documented degree can be viewed as adequate specialist qualifications for the planned doctoral degree project in conjunction with additionally acquired academic knowledge and skills – normally obtained before the application is submitted – that are relevant for the doctoral degree subject. This additional knowledge and these skills must be documented in a suitable manner by the candidates and submitted to the doctoral examination committee with the application for the doctoral degree. A statement by the supervisor and, if applicable, another professor closely related to the subject must also be presented to the doctoral examination committee at the same time to confirm the adequate specialist qualifications.

- 4) The grade “good” is viewed as the minimum grade in the university degree for acceptance as a doctoral candidate in the doctoral subjects envisaged in Section 1 Nos. 1 and 2. The doctoral examination committee must make a decision about any exceptions to this rule.
- 5) As regards the subject of law for candidates who have passed the state examination(s), it is necessary for the candidate to achieve at least the grade “satisfactory” in the first or second state exams, or the majority of the joint doctoral examination committee must approve the acceptance of the doctoral candidate for the degree of “Doctor of Law” (Dr. jur.) with documented academic qualifications on the basis of an oral presentation of a written outline of the planned thesis made available earlier (work presentation in the sense of Section 5 Para. 2 a of the AB-PromO). When assessing the academic qualifications, the candidate's academic publications are considered.

#### **§ 5 A cumulative thesis**

- 1) The following requirements, which, if they exist, are geared towards the recommendations of the professional associations in the individual subject areas, must be met for this purpose:
- 2) The following requirements in particular apply to cumulative theses in the doctoral degree subjects of education, psychoanalysis and musicology:
1. At least three published articles or those accepted for publication should be submitted.
  2. At least two articles solely written by the candidate should be submitted. If sole authorship

- would infringe the rules of good academic practice on the basis of networked empirical research projects, it is instead possible to submit articles written as the lead author, of which no more than one should be in the form of shared lead authorship.
3. If fewer than five published articles or those accepted for publication are submitted, at least three articles must have been published or accepted in specialist journals or edited volumes with a review procedure. If five or more articles are presented, at least two of these five publications must have been published or accepted for publication with a peer review procedure.
  4. The publication of the oldest article should normally not go back more than six years.
- 3) The following requirements in particular apply to cumulative theses in the doctoral degree subjects of psychology and music education:
1. Two journal articles written as the lead author and another journal article with significant involvement of the candidate should be submitted.
  2. These articles must have appeared in relevant specialist journals with a peer review procedure, be accepted for publication or have been submitted. At least two of the articles submitted must have been published or accepted for publication.
  3. When assessing the necessary number of publications, the academic rank of the journals in question must be considered. Publications in internationally outstanding journals are given greater weighting.
  4. Shared lead authorship is not regarded as lead authorship.
- 4) The following requirements apply to cumulative theses in the doctoral degree subjects of social work, social education, social therapy, the sociology of social problems, social policy/social management, coaching/mediation sciences, public health and gerontology:
1. Four articles must be available, three of which have at least been accepted for publication.
  2. Two articles must have been written as the lead author (or shared lead author).
  3. Two articles must have been written as the sole author. If sole authorship would infringe the rules of good academic practice on account of networked empirical research projects, it is instead possible to present articles as the lead author.
  4. The publication of the oldest article should normally not go back more than six years.
  5. The necessary number of articles with a peer review is 1 in the doctoral subjects of coaching/mediation sciences and social therapy, 3 for public health and 2 in the other subjects.
  6. The necessary number of articles published in the relevant specialist journals or accepted for publication in the doctoral degree subjects of public health, sociology of social problems and social policy/social management is 3 – and 2 in the other subjects.
- 5) The following requirements and rules also apply to all the cumulative theses:
1. The thesis should contain an additional text consisting of at least 30 pages above and beyond the articles brought together and specifically marked in the paper. This text should clearly indicate the overall issue, how the subject matter fits into current research discussions and how the individual articles relate to the overall issue.
  2. In the case of articles that are written by several authors, a statement about the personal share of the relevant articles must be attached to the thesis (Annex 1).
  3. An additional (third) assessment must be obtained if one of the examiners is a co-author in at least one article. The third examiner may not have written any articles in co-authorship with the doctoral candidate.
- 6) Meeting the aforementioned criteria does not replace the assessment by the examiners in the doctoral procedure. They must guarantee that the requirements for monograph theses and cumulative theses are met to the same degree and that all the publications submitted and the introductory text fulfil the requirements set for a thesis.

**§ 6 Examiners and the doctoral committee in the doctoral procedure to award the degree of Doctor of Law (Dr. jur.)**

- 1) In a departure from Section 9 Para. 3 Sentence 1 of the AB-PromO, at least one examiner must be a professor/head of a specialist field in law in the faculties involved in the joint doctoral procedure.
- 2) In a departure from Section 12 Para. 2 Sentence 2 of the AB-PromO, at least half of the committee members must be professors according to Section 9 Para. 2 Sentence 1 of the AB-PromO, who lead a specialist field in law in one of the faculties involved in forming the joint doctoral examination committee.

**§ 7 Supervisors in interdisciplinary doctoral degree procedures**

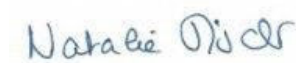
The doctoral examination committee can arrange supervision by a second supervisor for interdisciplinary research topics.

This interdisciplinary supervision must be documented in the supervision agenda.

**§ 8 Taking effect / ceasing to be valid**

- 1) The Special Provisions of the Faculty of Human Sciences take effect on the day after their publication in the Official Gazette of the University of Kassel.
- 2) The Special Provisions of the Faculty of Human Sciences dated 28 August 2017 cease to be valid after 31 December 2031.

Kassel, 7 February 2024



Prof. Dr Natalie Fischer  
Dean of the Faculty of Human Sciences

**Annex 1:**

University of Kassel, Faculty of Human Sciences  
Statement about cumulative theses in the doctoral degree subject of ....

Statement about my personal share in the published academic writings or those envisaged for publication within my thesis, in addition to Section 5a Para. 4 Sentence 1 of the General Provisions for Doctoral Degrees at the University of Kassel dated 13 June 2011

To be completed by the candidate:

1. Surname, first name  
Institute, (if necessary, external body)

Subject of the thesis

2. Numbered list of the writings submitted (title, authors, where and when published or submitted):

- 1.
- 2.
- etc.

3. Statement about my personal share in these writings:

Explanation: State which work you performed in these writings (personal authorship in the relevant text and e.g. your personal share in developing the concept, literature research, method development, design of experiments, data collection, data analysis, results of discussions, preparing the manuscript, programming, argumentation) and to what degree (e.g. completely, predominantly, for the most part, in part) you were involved in this.

- re. no. 1  
re. no. 2  
etc.

4. Addresses (e-mail) of the relevant co-authors:

- re. no. 1  
re. no. 2  
etc.

Date, signature of the candidate

The details in paragraph 3 must be confirmed by the co-authors in writing.

I confirm the statement provided by Mr/Ms ..... in paragraph 3:

1.  
Name: Signature:.....
2.  
Name: Signature:.....
- etc.