

Bei der vorliegenden Übersetzung handelt es sich um eine nichtamtliche Lesefassung der Besonderen Bestimmungen für Promotionen des Fachbereichs Wirtschaftswissenschaften der Universität Kassel zu den Allgemeinen Bestimmungen für Promotionen an der Universität Kassel (AB-PromO) vom 14. Juli 2021.

Maßgeblich und rechtlich verbindlich ist nur der im Mitteilungsblatt der Universität Kassel veröffentlichte Text.

*The translations do not have any legal binding effects, the official versions in German are published in Mitteilungsblatt der Universität Kassel.*

### **Non-official Continuation of the New Version of the Special Provisions of the Faculty of Economics at the University of Kassel related to the General Provisions for Doctoral Degrees at the University of Kassel (AB-PromO) dated 26 October 2016**

Based on the order to amend the Special Provisions of the Faculty of Economics at the University of Kassel in relation to the General Provisions for Doctoral Degrees at the University of Kassel (AB-PromO) dated 26 October 2016 (Official Gazette 3/2017, p. 683), the wording of the examination regulations is being published in the version that applies from 27 March 2017 onwards.

The new version takes into consideration:

1. the Special Provisions of the Faculty of Economics at the University of Kassel related to the General Provisions for Doctoral Degrees at the University of Kassel (AB-PromO) dated 17 December 2014 (Official Gazette 16/2015, p. 3128; corrected by Official Gazette 01/2016, p. 6),
2. the order to amend the Special Provisions of the Faculty of Economics at the University of Kassel related to the General Provisions for Doctoral Degrees at the University of Kassel (AB-PromO) dated 26 October 2016 (Official Gazette 3/2017, p. 683).

The update also takes into consideration the following:

1. the order to amend the Special Provisions of the Faculty of Economics at the University of Kassel related to the General Provisions for Doctoral Degrees (AB-PromO) at the University of Kassel dated 13 December 2017
2. the second order to amend the Special Provisions of the Faculty of Economics at the University of Kassel related to the General Provisions for Doctoral Degrees (AB-PromO) at the University of Kassel dated 12 June 2024.

According to Section 24 of the AB-PromO, the Faculty of Economics at the University of Kassel is issuing the following Special Provisions to complement the General Provisions for Doctoral Degrees at the University of Kassel (AB-PromO), both in their latest version.

#### **§ 1 Doctoral degrees, doctoral degree subjects**

According to Section 1 Para. 2 of the AB-PromO and after candidates have completed a proper doctoral procedure, the Faculty of Economics awards the following academic degrees:

- Doctor of Economics and Social Sciences (Dr. rer. pol.) in the academic subjects of economics, vocational and business/economics education, ergonomics, industrial engineering with a major focus on economics, law with a major focus on economics, public administration with a major focus on economics,
- Doctor of philosophy (Dr. phil.) in the academic subjects of vocational and business/economic education, ergonomics, psychology with a major focus on economics,
- Doctor of law (Dr. jur.) in the academic subject of law.

#### **§ 2 Enrolment for cooperative doctorates**

The following additional provision applies to cooperative doctorates according to Section 19 of the AB-PromO: candidates, who have received notification of acceptance according to Section 5 Para. 5 of the AB-PromO with or without any imposed conditions, may enrol at one of the universities involved in

the process.

### **§ 3 Doctoral examination committee**

(1) According to Section 2 Para. 1 of the AB-PromO, the Faculty of Economics must form a doctoral examination committee for the doctoral degrees in its area of responsibility, and it is then responsible for the doctoral degrees that are awarded, with the exception of the degree of "Doctor of Law (Dr. jur.)."

(2) According to Section 2 Para. 3 of the AB-PromO, the Faculties of Humanities and Economics must form a joint doctoral examination committee for the degree of Dr of Law (Dr. jur.). One professor from the Faculty of Humanities, two professors from the Faculty of Economics, one research fellow and one student must be members of the joint doctoral examination committee. At least two of the group of professors must be head of a specialist field in law.

### **§ 4 Acceptance requirements**

(1) According to Section 3 Para. 1 of the AB-PromO, the crucial precondition for acceptance as a doctoral candidate is the completion of a relevant academic degree in the subjects of economics, vocational or business/economic education, ergonomics, industrial engineering, commercial law, public administration, psychology or law (state examinations) or in related subjects.

(2) If the acceptance of a candidate applying according to Section 3 Para. 2 Final Subparagraph Sentence 1 of the AB-PromO depends on successfully passing an assessment of eligibility procedure, the doctoral examination committee must communicate the type and scope of the coursework and examination work that needs to be completed when sending the notification of acceptance. Taking into account the stipulations in the provisions in Section 3 Para. 2 Sentences 6 & 7 of the AB-PromO on the basis of the written application documents, it must stipulate the type and scope of the coursework and examination work in such a way that it is certain that the candidate has gained the knowledge contained in a relevant university master's degree, which is essential for successfully writing a thesis on the subject mentioned in the notification of acceptance. In any cases of doubt, the doctoral examination committee must ask the supervisor for a written statement about which knowledge is involved and what knowledge the candidate already has. Any examinations, which have not been passed, may be repeated once.

(3) Those changing subject in the sense of Section 3 Para. 3 of the AB-PromO are only admitted for a doctorate if the verified university degree can be viewed as an adequate specialist qualification for the planned doctoral project in conjunction with the relevant, academic knowledge and skills already gained at the time of the decision and, if necessary, any imposed conditions that are to be set.

(4) The grade "good" is set as the minimum grade in a university degree for acceptance as a doctoral candidate for economic subjects at the Faculty of Economics. For those studying law where the qualification is the state exams, it is necessary to achieve the minimum grade of "satisfactory" in the first or the second state exam or the majority of the joint doctoral examination committee approves the acceptance of the doctoral candidate for the degree of "Doctor of Law (Dr. jur.)" if the academic qualification has been proven on the basis of an oral presentation of a written outline of the planned thesis, which was made available earlier (work description in the sense of Section 5 Para. 2a of the AB-PromO). When assessing the academic qualification, academic publications by the candidate are considered.

### **§ 5 (deleted)**

### **§ 6 Extending the deadline**

(1) An extension of the deadline according to Section 5 Para. 6 Sentence 3 of the AB-PromO can only be granted if it is likely that the thesis will be successfully submitted within the extended period.

(2) To enable a decision to be made about extending the deadline, the candidate must submit the thesis work already completed and the supervisor must also issue a statement.

### **§ 7 A cumulative thesis**

(1) A cumulative thesis is possible to gain the degree of Doctor of Philosophy (Dr. phil.) in the economics

subject of psychology with a special focus on economics or to obtain the degree of Doctor of Economics and Social Sciences (Dr. rer. pol.).

(2) The precondition for a cumulative thesis involves at least three closely content-related specialist articles, but which are clearly distinct in terms of their content and are ready for publication according to content-related and methodical standards in internationally recognised and relevant, peer-reviewed, specialist journals. At least one of the specialist articles must have successfully undergone an external peer review procedure with international standards at a relevant specialist journal or an academic symposium. The institutes must regulate the recognition of suitable external peer review procedures. At least two other articles integrated in the thesis should have been accepted for a peer review at a relevant specialist journal. Articles, which have not been peer-reviewed, invited contributions, reviews, editorials and commentaries do not count as specialist articles in the sense of these provisions. The examiners of the thesis must decide whether the criteria have been met. If symposium articles are being considered, the examiners must particularly demonstrate that the peer review process for the symposium/symposia is equivalent to the peer review process at a relevant specialist journal.

(3) The specialist articles must be brought together in one piece of work and submitted in this form. Any specialist articles that have successfully undergone a peer review process, which has directly led to their publication in a relevant specialist journal, must be included in the thesis in the published form, although editorial changes are permissible. In the case of specialist articles that have successfully undergone a peer review process, which was the condition for approval for a lecture at an academic symposium, the version of the article that is included in the thesis should take into consideration any suggestions for improvements. The collated paper must be given an overall title. The formal provisions for theses at the University of Kassel apply.

(4) The thesis, which is based on specialist articles, must be given an extensive introduction, in which reference is particularly made to the relevant state of research, the overall contribution of the paper to the current state of research and the ongoing research questions that emerge from the paper.

(5) If the thesis contains articles written by more than one author, the minimum requirement regarding the number of articles emerges from the following scheme: each article is graded with the reciprocal value of the number of authors. The total number of articles graded in this manner must be at least two. At least one of the specialist articles must have been solely written by the candidate. If articles have been written in co-authorship with an examiner, a third examiner must be appointed for these articles.

(6) The examiners must use the same standards for assessing the academic quality of a cumulative thesis as for a monograph thesis, according to Section 7 Para. 4 of the AB-PromO. If the cumulative thesis contains specialist articles written by several authors, the doctoral candidate must attach a statement in line with Annex 1.

## **§ 8 Examiners and the doctoral committee in the doctoral procedure to award the degree of Doctor of Law (Dr. jur.)**

- 1) In a departure from Section 9 Para. 3 Sentence 1 of the AB-PromO, at least one examiner must be a professor/head of a specialist field in law in the faculties involved in the joint doctoral degree procedure.
- 2) In a departure from Section 12 Para. 2 Sentence 2 of the AB-PromO, at least half of the committee members must be professors according to Section 9 Para. 2 Sentence 1 of the AB-PromO, who lead a specialist field in law at one of the faculties involved in the joint doctoral examination committee.

## **§ 9 Printing costs allowance**

A printing costs allowance may be granted for outstanding work that is made available to the academic world through publication at a specialist publishing house, provided that the finances are available. No right to this exists.

## **§ 10 Studies supporting the doctoral degree**

(1) Doctoral candidates in the Faculty of Economics may attend a study course to support their doctoral degree in the form of further research-oriented training in their relevant doctoral degree subject

amounting to between 15 and 30 credits.

(2) The content of the studies to support the doctoral degree must be set with the responsible supervisor.

### **§ 11 Taking effect**

The Special Provisions of the Faculty of Economics take effect on the day after their publication in the Official Gazette of the University of Kassel.

Kassel, 31 January 2017

The Dean of the Faculty of Economics  
Prof. Dr Patrick Spieth